Introduction to GAMIT/GLOBK

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Preface

GAMIT/GLOBK is a comprehensive GPS analysis package developed at MIT, the Harvard-Smithsonian Center for Astrophysics (CfA), and the Scripps Institution of Oceanography (SIO) for estimating station coordinates and velocities, stochastic or functional representations of post-seismic deformation, atmospheric delays, satellite orbits, and Earth orientation parameters. Although the software is currently maintained by the three authors of this document at MIT, many people have made substantial contributions. The orbital integration and modules used in computing the theoretical phase observable have their origins in the Planetary Ephemeris Program (PEP) written by Michael Ash, Irwin Shapiro, and Bill Smith at Lincoln Laboratory in the 1960's, with later contributions by Bob Reasenberg and John Chandler at MIT. The codes for processing GPS observations were developed at MIT in the 1980's by Chuck Counselman, Sergei Gourevitch, Yehuda Bock, Rick Abbot, and King. GAMIT attained its current form through the efforts of Bock, Danan Dong, Peng Fang, Kurt Feigl, Herring, King, McClusky, Peter Morgan, Mark Murray, Liz Petrie, Berkhard Schraffin, Seiichi Shimada, Paul Tregoning, and Chris Watson. GLOBK was developed by Herring at CfA for combination of VLBI data and modified at MIT to incorporate GPS data. Details of these contributions may be found in the references listed at the end of this manual. Funding for the early development of GAMIT was provided by the Air Force Geophysics Laboratory, and for GLOBK by NASA. Current support for development and support of the scientific community comes primarily from the National Science Foundation.

To control processing the software uses C-shell scripts (stored in /com and mostly named to begin with sh_{-}) which invoke the Fortran or C programs compiled in the /libraries, /gamit, and /kf directories. The software is designed to run under any UNIX operating system supporting X-Windows; we have implemented thus far versions for LINUX, Mac OS-X, HP-UX, Solaris, IBM/RISC, and DEC. The maximum number of stations and atmospheric parameters allowed is determined by dimensions set at compile time and can be tailored to fit the requirements and capabilities of the analyst's computational environment. Installation instructions are given in the README file distributed with the software.

The first chapter of this manual provides some theoretical background for readers not familiar with high-precision GPS analysis. Chapter 2 describes the setup of tables and commands for automatic processing to obtain time series of daily position estimates, and Chapter 3 provides a guide to evaluating your results. In Chapter 4 we discuss various approaches to generating time series and estimating station velocities from observations spanning several years. More detailed documentation is available in the longer (and older) *GAMIT Reference Manual* and *GLOBK Reference Manual*. There are also tutorials available on-line at http://www-gpsg.mit.edu. The most up-to-date information about the commands is available through help files, invoked by typing the name of the shell-script or program without arguments.

1. GPS Measurements and Analysis

1.1 Phase and pseudorange observations

High-precision geodetic measurements with GPS are performed using the carrier beat phase, the output from a single phase-tracking channel of a GPS receiver. This observable is the difference between the phase of the carrier wave implicit in the signal received from the satellite, and the phase of a local oscillator within the receiver. The phase can be measured with sufficient precision that the instrumental resolution is a millimeter or less in equivalent path length. For the highest relative-positioning accuracies, observations must be obtained simultaneously at each epoch from several stations (at least two), for several satellites (at least two), and at both the L1 (1575.42 MHz) and L2 (1227.6 MHz) GPS frequencies. The dominant source of error in a phase measurement or series of measurements between a single satellite and ground station is the unpredictable behavior of the time and frequency standards ("clocks") serving as reference for the transmitter and receiver. Even though the GPS satellites carry atomic frequency standards, the instability of these standards would still limit positioning to the several meter level were it not for the possibility of eliminating their effect through signal differencing.

A second type of GPS measurement is the pseudo-range, obtained using the 300-m-wavelength CA ("coarse acquisition") code or 30-m-wavelength P ("protected") code transmitted by the satellites. Pseudo-ranges provide the primary GPS observation for navigation but are not precise enough to be used directly in geodetic surveys. They are useful, however, for estimating the offsets of receiver clocks, resolving ambiguities, and repairing cycle slips in phase observations.

For a single satellite, differencing the phases (or pseudo-ranges) of signals received simultaneously at each of two ground stations eliminates the effect of bias or instabilities in the satellite clock. This measurement is commonly called the between-stations-difference, or single-difference observable. If the stations are closely spaced, differencing between stations also reduces the effects of tropospheric and ionospheric refraction on the propagation of the radio signals. If the ground stations have hydrogen-maser oscillators (with stabilities approaching 1 part in 10¹⁵ over several hours), then single differences can, in principle, be useful, as they are for VLBI. In practice, however, it is seldom cost effective to use hydrogen masers and single difference observations in GPS surveys. Rather, we form a double difference by differencing the between-station differences also between satellites to cancel completely the effects of variations in the station clocks. In this case the observations are just as accurate with low-cost crystal oscillators as with an atomic frequency standard.

Since the phase biases of the satellite and receiver oscillators at the initial epoch are eliminated in doubly differenced observations, the doubly differenced range (in phase units) is the measured phase plus an *integer* number of cycles. (One cycle has a wavelength of 19 cm at L1 and 24 cm at L2 for code-correlating receivers; half these

values for squaring-type receivers used prior to the mid-1990s.) If the measurement errors, arising from errors in the models for the orbits and propagation medium as well as receiver noise, are small compared to a cycle, there is the possibility of determining the integer values of the biases, thereby obtaining from the initially ambiguous doubly differenced phase an unambiguous measure of doubly differenced range. Resolution of the phase ambiguities improves the uncertainties in relative position measures by about a factor of 1.5 for 24-hr sessions, 3 for 8-hr sessions and more than 5 for short sessions. (see, e.g., *Blewitt* [1989], *Dong and Bock* [1989]).

GAMIT incorporates difference-operator algorithms that map the carrier beat phases into singly and doubly differenced phases. These algorithms extract the maximum relative positioning information from the phase data regardless of the number of data outages, and take into account the correlations that are introduced in the differencing process. (See *Bock et al.* [1986] and *Schaffrin and Bock* [1988] for a detailed discussion of these algorithms.) An alternative, (nearly) mathematically equivalent approach to processing GPS phase data is to use formally the (one-way) carrier beat phases but estimate at each epoch the phase offset due to the station and satellite. This approach is used by the *autcln* program in GAMIT to compute one-way phase residuals for editing, display, and estimating atmospheric and ionospheric slant delays.

In order to provide the maximum sensitivity to geometric parameters, the carrier phase must be tracked continuously throughout an observing session. If there is an interruption of the signal, causing a loss of lock in the receiver, the phase will exhibit a discontinuity of an integer number of cycles ("cycle-slip"). This discontinuity may be only a few cycles due to a low signal-to-noise ratio, or it may be thousands of cycles, as can occur when the satellite is obstructed at the receiver site. Initial processing of phase data is often performed using time differences of doubly differenced phase ("triple differences", or "Doppler" observations) in order to obtain a preliminary estimate of station or orbital parameters in the presence of cycle slips. The GAMIT software uses triple differences in editing but not in parameter estimation. Rather, it allows estimation of extra bias parameters whenever the automatic editor has flagged an epoch as a cycle slip that cannot be repaired. Various algorithms to detect and repair cycle slips are described by *Blewitt* [1990], and also in Chapter 4 of the *GAMIT Reference Manual*.

Although phase variations of the satellite and receiver oscillators effectively cancel in doubly differenced observations, errors in the *time* of the observations, as recorded by the receiver clocks, do not. However, the pseudo-range measurements, together with reasonable a priori knowledge of the station coordinates and satellite position, can be used to determine the offset of the station clock to within a microsecond, adequate to keep errors in the doubly differenced phase observations below 1 mm.

A major source of error in single-frequency GPS measurement is the variable delay introduced by the ionosphere. For day-time observations near solar maximum this effect can exceed several parts per million of the baseline length. Fortunately, the ionospheric delay is dispersive and can usually be reduced to a millimeter or less by forming a

particular linear combination (LC, sometimes called L3) of the L1 and L2 phase measurements:

$$\phi_{LC} = 2.546 \,\phi_{L1} - 1.984 \,\phi_{L2} \tag{1}$$

(See, e.g., *Bock et al.* [1986], or *Dong and Bock* [1989].) Forming LC, however, magnifies the effect of other error sources. For baselines less than a few kilometers the ionospheric errors largely cancel, and it is preferable to treat L1 and L2 as two independent observables rather than form the linear combination. The station separation at which the ionspheric errors exceed the phase noise depends on many factors (receiver, antenna, multipath environment, latitude, time of day, sunspot activity) and must be determined empirically by analyzing the data with both observable types.

In examining phase data for cycle slips, it is often useful to plot several combinations of the L1 and L2 residuals. Single-cycle slips in L1 or L2 will appear as jumps of 2.546 or 1.984 cycles, respectively, in LC. Single-cycle slips in both L1 and L2 (a more common occurrence) appear as jumps of 0.562 cycles in LC, which, though smaller, may be more evident than the jumps in L1 and L2 because the ionosphere has been eliminated. If the L2 phase is tracked using codeless techniques, the carrier signal recorded by the receiver is at twice the L2 frequency, leading to half-cycle jumps when it is combined with full-wavelength data. Hence, a jump of a "single" L2 cycle will appear as 0.992 in LC, and simultaneous jumps in (undoubled) L1 and (doubled) L2 will appear as 1.554 cycles in LC. Another useful combination is the difference between L2 and L1 with both expressed in distance units

$$\phi_{LG} = \phi_{L2} - 0.779 \ \phi_{L1} \tag{2}$$

called "LG" because the L2 phase is scaled by the "gear" ratio ($f_2/f_1 = 60/77 = 1227.6/1575.42$). In the LG phase all geometrical and other non-dispersive delays (e.g., the troposphere) cancel, so that we have a direct measure of the ionospheric variations. One-cycle slips in L1 and L2 are difficult to detect in the LG phase in the presence of much ionospheric noise since they are equivalent to only 0.221 LG cycles.

If precise (P-code) pseudorange is available for both GPS frequencies, then a "wide-lane" (WL) combination of L1, L2, P1, and P2 can be formed which is free of both ionospheric and geometric effects and is simply the difference in the integer ambiguities for L1 and L2:

$$WL = n_2 - n_1 = \phi_{L2} - \phi_{L1} + (P1 + P2) (f_1 - f_2)/(f_1 + f_2)$$
 (3)

The WL observable can be used to fix cycle slips in one-way data [*Blewitt*, 1990] but should be combined with LG and doubly differenced LC to rule out slips of an equal number of cycles at L1 and L2.

These various combinations of phase and pseudorange observations are used not only in data editing, but also in resolving the phase ambiguities. When the LC observable is

used, we determine the L1 and L2 ambiguities by first resolving n_2 - n_1 ("widelane") and then n_1 ("narrow lane"). If precise and unbiased pseudoranges are available, the widelane ambiguities can be resolved for baselines up to thousands of kilometers under any ionosphere conditions. For measurements prior to 1995, and possibly prior to 2000, inter-channel receiver biases can corrupt the pseudoranges and it is necessary to use the phase observations alone with a constraint on the ionopshere to resolve the widelane ambiguities (see, e.g., Blewitt, 1989; Dong and Bock, 1989; Feigl et al., 1993]. GAMIT gives you the option of selecting the method to be used, either pseudoranges (LC AUTCLN) or ionospheric constraints (LC HELP). When using the pseudorange approach with different receiver types, it is important to use the satellite-dependent differential code biases (DCBs) computed from tracking data by the Center for Orbit Determination in Europe (CODE) [http://www.aiub.unibe.ch/ionosphere.html] and updated monthly at MIT in file dcb.dat. Once the wide-lane ambiguity for a given doubly differenced combination has been resolved, resolving the narrow-lane ambiguity for that combination depends on the level of noise from the receiver, multipathing, and the troposphere, and the accuracy of the models employed for the position and motion of the stations and satellites. It is generally more difficult to resolve these ambiguities for the longest baselines, but for data acquired since 2000 we can usually resolve 80-95% of the ambiguities in a global analysis.

1.2 Modeling the motions of the satellites and stations

A first requirement of any GPS geodetic experiment is an accurate model of the satellites' motion. The (3-dimensional) accuracy of the estimated baseline, as a fraction of its length, is roughly equal to the fractional accuracy of the orbital ephemerides used in the The accuracy of the Broadcast Ephemerides computed regularly by the Department of Defense using pseudorange measurements from < 10 tracking stations is typically 1-5 parts in 10⁷ (2-10 m), well within the design specifications for the GPS system but not accurate enough for the study of crustal deformation. By using phase measurements from a global network of over 100 stations, however, the International GNSS Service (IGS) [Beutler et al., 1994a], is able to determine the satellites' motion with an accuracy of 1 part in 10⁹ (2 cm; 5-20 cm in earlier years; see http://acc.igs.org) For GPS surveys prior to 1994, the global tracking network was much smaller but can still be used to achieve accurate results for regional surveys. If we estimate orbital parameters and include in our analysis observations from widely separated stations whose coordinates are well known, the fractional accuracy of the baselines formed by these stations is transferred through the orbits to the baselines of a regional network. For example, a 10 mm uncertainty in the relative position of sites 2500 km apart introduces an uncertainty of only ~1 mm in the components of a 250 km baseline. This scheme can be used successfully even with regional fiducial stations, transferring, for example, the relative accuracy of 250-500 km baselines to a network less than 100 km in extent, a helpful approach with surveys conducted prior to the availability of precise global orbits.

The motion of a satellite can be described, in general, by a set of six initial conditions (Cartesian position and velocity, or osculating Keplerian elements, for example) and a model for the forces acting on the satellite over the span of its trajectory. To model

accurately the motion, we require knowledge of the acceleration induced by the gravitational attraction of the Sun, Moon, and higher order terms in the Earth's gravity field, and some means to account for the action of non-gravitational forces due to solar radiation pressure and gas emission by the spacecraft's batteries and attitude-control system. For GPS satellites non-gravitational forces are the most difficult to model and have been the source of considerable research over the past 20 years (see *Colombo* [1986] and *Beutler et al.* [1994b] for more discussion).

In principle, a trajectory can be generated either by analytical expressions or by numerical integration of the equations of motion; in practice, numerical integration is almost always used, for both accuracy and convenience. The position of the satellite as a function of time is then read from a table (ephemeris) generated by the numerical integration. In GAMIT the integration is performed by program *arc* using equations given by *Ash* [1972].

Besides the orbital motion of a satellite, we must take into account meter-level offsets between its center of mass and the phase-center of the transmitting antenna, including temporary excursions of several decimeters lasting up to a half-hour during the maneuvers the satellites execute to keep their solar panels facing the Sun when the orbital plane is nearly aligned with the Earth-Sun direction. For the satellites in each orbital plane, this alignment occurs for several weeks twice a year, the so-called "eclipse season". Yoaz Bar-Sever and colleagues at JPL have spent considerable effort developing models of the satellites' orientation, even to point of making the behavior more predictable by getting DoD to apply a small bias about the yaw axis—a change that was implemented gradually between June, 1994, and November, 1995. See *Bar-Sever* [1996] for a complete discussion.

The position of the ground station in the Earth-centered inertial system defined by the satellites' orbits is affected by a number of geophysical phenomena. These include the Earth's rotation, precession and nutation of the spin axis in inertial space, motion of the spin axis with respect to the crust ("wobble"), luni-solar solid-body tides, and loading of the crust by ocean tides and the atmosphere. All of these effects are incorporated into the model of the phase and pseudorrange observations computed in program *model*.

In modeling the phase and pseudorange observations, we must also take into account changes in the apparent distance due to variations in the phase centers of the transmitting and receiving antennas. With matched ground antennas in a regional network, these effects nearly cancel, but for longer baselines and/or different antenna types they can amount to several centimeters in estimated heights. Models for the phase center offsets (PCOs) and variations (PCVs) with elevation and azimuth for most commonly used ground antennas have been determined by electro-mechanical measurements [http://gnpcvdb.geopp.de; http://www.ngs.noaa.gov/ANTCAL], and for the satellite antennas by analysis of global tracking data [Schmid et al., 2007].

Also part of the phase and pseudorange model is the propagation delay caused by the neutral part of the Earth's atmosphere. This effect is represented by a time-dependent

"zenith delay", a "mapping function" that extends the delay to other elevation angles, and a simple function for north-south and east-west gradients. The zenith delay and gradient parameters are usually estimated in the analysis. The details of these models are described in Chapter 7 of the *GAMIT Reference Manual*.

1.3 Parameter estimation

GAMIT (program *solve*) incorporates a weighted least squares algorithm to estimate the relative positions of a set of stations, orbital and Earth-rotation parameters, zenith delays, and phase ambiguities by fitting to doubly differenced phase observations. Since the functional (mathematical) model relating the observations and parameters is non-linear, GAMIT produces two solutions, the first to obtain coordinates within a few decimeters, and the second to obtain the final estimates (See the discussion in Section 2.2 of the *GAMIT Reference Manual*.)

In current practice, the GAMIT solution is not usually used directly to obtain the final estimates of station positions from a survey. Rather, we use GAMIT to produce estimates and an associated covariance matrix ("quasi-observations") of station positions and (optionally) orbital and Earth-rotation parameters which are then input to GLOBK or other similar programs to combine the data with those from other networks and times to estimate positions and velocities [Feigl et al., 1993; Dong et al., 1998]. GLOBK uses a Kalman filter (equivalent to sequential least squares if there are no stochastic parameters in the solution) which operates on covariance matrices rather than normal equations and hence requires that you specify a non-infinite a priori constraint for each parameter estimated (see, e.g., Herring et al. [1990]). In order not to bias the combination, GAMIT generates the solution used by GLOBK with loose constraints on the parameters. Since phase ambiguities must be resolved (if possible) in the phase processing, however, GAMIT also generates several intermediate solutions with user-defined constraints before loosening the constraints for its final solution. These steps are described in detail in Section 3.4 of the GAMIT Reference Manual.

In parameter estimation based on least-squares, the conventional measure of goodness-of-fit is the χ^2 (chi-square) statistic, defined for uncorrelated data as the sum of the squares of each observation residual (post-fit observed minus computed observation, "o-c") divided by its assigned uncertainty. In a GPS analysis parameter correlations arise (even if not represented in the original data weights) so the computation of χ^2 in GAMIT or GLOBK involves a complex matrix operation (see *Dong et al.*, [1998]), but the idea is the same. The value of χ^2 is usually normalized by dividing by the "degrees of freedom" (*df*), the number of observations minus the number of parameters estimated, so that the ideal value for properly weighted, independent random observations is 1.0. Conversely, with whatever a priori weight is assigned to the observations, multiplying the estimated parameter uncertainties by the square root of χ^2/df yields the "formal errors" ("formal standard deviations") of the parameter. With white noise, these formal uncertainties will be realistic and will be inversely proportional to the square root of the number of observations (i.e., will depend strongly on the sampling interval).

The uncertainties in a GPS analysis, however, cannot be treated with white noise statistics because errors with temporal correlations dominate both the phase observations and estimates of station coordinates (the quasi-observations input to GLOBK). In the phase residuals (from *cview* or the sky plots produced by *sh gamit*), the visible noise from multipathing and tropospheric fluctuations—is typically correlated over spans of 15-30 minutes. This implies that only samples taken at these intervals are independent, and, to a first approximation, we would get realistic uncertainties by multiplying the formal errors by the square root of the ratio of this interval to the sampling interval used—e.g., for the 2 minute sampling commonly used in solve, we would increase the uncertainties by a factor of 3-5. There also errors with longer correlation times that do not show up in the residuals but are absorbed into the parameter adjustments. Assessing the magnitude of these errors requires us to use noise visible in the residuals (phase or coordinates) to infer the character of the noise at lower frequencies. There are a number of excellent studies of the character of GPS errors discussed in the presentation 'Error Analysis.ppt' from our most recent workshops on the Documentation page of the GAMIT/GLOBK web site (e.g., Mao et al. [1999], Dixon et al. [2000], and Williams [2003]). Once you have adopted a particular weighting of the data, it is often possible to use external knowledge of the expected behavior of the coordinates or velocities to validate the uncertainties; see McClusky et al. [2000], Davis et al. [2003], and McCaffrey et al. [2006].

In GAMIT/GLOBK there are several ways you can control the uncertainties you obtain for coordinates and velocities, and it is important for you to keep clearly in mind how each of these operates. The uncertainties generated by solve and passed to GLOBK in the h-file are determined by the a priori error assigned to the phase observations and by the sampling interval—solve does <u>not</u> rescale by the square root of χ^2/df ("postfit nrms" in the q-file). In the initial ("preliminary") solution, we normally assign an uncertainty of 10 mm to each one-way L1 phase. By Equation (1), the assigned uncertainty in an LC phase becomes 32 mm. The mean rms of one-way LC residuals is typically ~6-9 mm, so the nrms computed by solve is 0.2-0.3. In the second ("final") solution, we normally reweight the observations using a constant and elevation-dependent term computed in data editing by program *autcln* from the actual (one-way LC) phase residuals. In order to keep the overall weighting approximately the same as with the 10 mm constant error, the values computed by autcln (ATLEV table in file autcln.post.sum) are multiplied by an arbitrary factor of 1.7 (in script sh sigely) before being input to solve (via the N-file). We chose to use inflated values of the a priori phase error and not rescale by the nrms in order to generate coordinate uncertainties that (in the presence of correlated noise) are approximately realistic with 2-minute sampling. An equally valid approach would be to rescale by the nrms (i.e. make $\chi^2/df = 1.0$) and compensate later for the unrealistically low coordinate uncertainties. With whatever weighting you use in solve, you can increase the coordinate uncertainties used by GLOBK by rescaling all covariances on the h-file or by adding white noise or random-walk noise to the variances of individual stations. We discuss in Section 4.3 why the latter approach is usually preferred.

To obtain meaningful estimates of crustal motion, it is necessary to define a reference frame by imposing constraints on the solution. These come in two common flavors: With finite constraints, we assign realistic a priori uncertainties to the coordinates and velocities of one or more sites. This is the only option available in GAMIT and it is also available in GLOBK. A minimum (non-redundant) constraint with this approach would involve restricting translation by fixing or tightly constraining the three coordinates of one site, and restricting rotation by constraining Earth orientation. additional sites provides redundancy, but can distort the network and remove your ability to detect errors in those sites except through an increase in χ^2 . The second type of constraint, available through the glorg program of GLOBK, is generalized. With this approach, we choose as large a set as possible of sites with good a priori values and minimize their adjustments while estimating an overall translation, rotation, and scale (Helmut parameters) of the network. Since all of the frame-defining sites are free to move, outliers can be readily detected and removed. Moreover, with generalized constraints there can be no internal distortion of the network: all realizations of the reference frame will differ only by a translation and rotation. See *Dong et al.*, [1998] for a mathematical description of each of these approaches.

2. Automatic Processing with GAMIT and GLOBK

GAMIT is composed of distinct programs which perform the functions of preparing the data for processing (makexp and makex), generating reference orbit and rotation values for the satellites (arc, yawtab), interpolating time- and location-specific values of atmospheric and loading models (grdtab), computing residual observations (O-C's) and partial derivatives from a geometrical model (model), detecting outliers or breaks in the data (autcln), and performing a least squares analysis (solve). Although the modules can be run individually, they are tied together through the data flow, particularly file-naming conventions, in such a way that most processing is best done with shell scripts and a sequence of batch files set up by a driver module (fixdrv) for modeling, editing, and estimation. Though the data editing is almost always performed automatically, the solution residuals can be displayed or plotted so that problematic data can be identified (cview).

Likewise, GLOBK operates through distinct programs, which can be invoked with a single command or run separately. The primary functions are to combine quasi-observations--either GAMIT/GLOBK "h-files" or the internationally accepted SINEX format--from multiple networks and/or epochs (*glred* or *globk*), and to impose on this solution a reference frame appropriate to the scientific objective (*glorg*). Note that *globk* and *glred* are the same program, just called in different modes: *glred* to read data from one day at a time for generating time series, *globk* for stacking multiple epochs to obtain a mean position and/or velocity.

The full sequence of steps to take you from phase data to time series is accomplished with two shell scripts: *sh_gamit* looks for raw or RINEX data over a range of days and

invokes the GAMIT programs to produce constrained and loose estimates of coordinates together with sky plots of phase data as a record of the processing; sh_glred uses the GAMIT results to produce time series of day-to-day repeatability or a combined h-file that may be further combined with those from other epochs to estimate station velocities. The only preparation required is assembling the meta-data from station logs; setting up the control files, most of which are common to all analyses of a particular era; and assembling the non-IGS phase data in one or more directories on your system.

2.1 Setup

The first step in running the scripts is to create an <u>experiment</u> directory (e.g. emed08) with two subdirectories: /rinex and /tables. Copy into the /rinex directory all of the local RINEX files you plan to use in your processing. (RINEX files for IGS directories can be downloaded automatically during the processing. See Section 6.3 of the *GAMIT Reference Manual* for gathering raw and RINEX files from other parts of your system.) Then run sh_setup to link or copy into the [experiment]/tables directory the appropriate control and data files. All of the required templates and tables reside in \sim gg/tables, where \sim gg is a required alias pointing to the highest level of the GAMIT/GLOBK installation. Executing sh_setup will invoke $sh_links.tables$ to link the standard data tables described in Section 4.1) and will copy the eight control and data files listed below:

process.defaults: Edit this file to specify your computation environment, sources for internal and external data and orbit files, start time and sampling interval, and instructions for archiving the results.

sites.defaults: Edit this file to specify which local and IGS stations are to be used and how station meta-data are to be handled.

station.info: This file contains the receiver and antenna type and height of instrument (HI) values as a function of time for all occupations of the stations you will use. Although it can be generated during processing by sh_gamit , the best approach is usually to construct the file prior to processing using the tools described in Section 2.3.

coordinate files: sh_gamit maintains in the experiment ./tables directory two files of a priori coordinates. The file ending in .apr (set as aprf in process.defaults, itrf00.apr in the template) contains the Cartesian coordinates (position and velocity) of stations you wish to have unchanged throughout the processing. The L-file (Ifile.), which can contain either Cartesian position and velocity (default, same as .apr file) or spherical position (GAMIT old-style, use -oldfmt_Ifile option in sh_setup), is updated after each day is processed if the adjustments exceed a specified value (0.3 m by default). If you have good coordinates for stations not in the apr file, you should append these to the apr-file if you want them to be unchanged. For any station that does not have coordinates in Ifile., sh_gamit will attempt to calculate coordinates via a pseudorange solution, or (if use_rxc Y in process.defaults) use the coordinates in the RINEX header. When GAMIT runs, these coordinates will be updated from the phase solution so that for successive days on which the same station is observed, the accurate coordinates will be used.

sestbl. and sittbl.: Edit these files to set the appropriate options for your analysis. Make sure that any station for which you specify tight constraints in sittbl. has accurate coordinates in the apr file.

autcln.cmd: This file will usually not require editing unless you encounter unusual data during the processing.

Once you have edited appropriately the template files, you can start the processing from within the experiment directory by giving *sh_gamit* simply the 4-character code for the experiment and a range of days to process:

```
sh_gamit -expt scal -d 2000 034 035 036 >&! sh_gamit.log
```

The time span can also be specified using -s <start_day> <stop_day> to indicate a range of consecutive days, or -r <days> to indicate that you want to process a single day <days> before the current date. You may also override some of the parameters specified in process.defaults:

-orbit <type> Type of orbit (IGSU IGSR IGSF MITF SIOU SIOR SIOF, default IGSF) EOP series to be used (usno [default], bull_b) -eops <name> -sessinfo Sampling interval, #epochs, start time (HH MM) (e.g. 30 2880 0 0) List of files to compress in the day directory (default x, k, autcln.out, d) -copt List of files to delete from the day directory (default C-files only) -dopt -pres ELEV Plot phase vs elevation and as skyplots (default no) -noaifs Do not create gif files of sky plots (default is to create from postscript) -yrext Add year prefix to names of day directories -netext <char> Add suffix (character) to names of day directories

-noftp

Most of the time the parameters may be omitted in favor of the values you have specified in process.defaults for the whole experiment. The overrides are useful, however, if you wish to test the effect of processing a day with a different orbit, EOP table, or session length, in which case you can create a second directory for the same day by appending a character to its name (e.g., -netext t to create 034t). Finally, you may launch *sh_gamit* from anywhere on the system by specifying the full path name of the processing directory with -dir <path>. When the script runs, it will write to the screen a record of each step, which you may choose to redirect to a file (e.g., >&! sh_gamit.log). Examining the screen log together with the comments and source code in gg/com/sh_gamit and the GAMIT.fatal file, will allow you to identify the point and reason for failure should that occur.

Don't try to ftp any data from outside your system (default is to ftp)

When processing of each day is completed, *sh_gamit* will send a mail message to you giving the number of stations used, the rms of the one-way phase residuals for the two best and two worst stations from the AUTCLN postfit summary file, the nrms values from the Q-file, the number of ambiguities resolved, and a list of any large adjustments to

station coordinates. These statistics will let you know whether you need to examine the GAMIT output further for possible reprocessing.

To use sh_glred to obtain a time series of position estimates, you need to edit one and possibly more control files in the /gsoln directory established by sh_setup. In the simplest case, in which you want to use all of the sites in your GAMIT solutions and are not combining these solutions with h-files from an external source, you need only edit glorg_comb.cmd to specify which sites will be used to define your reference frame. If you want to omit some sites or indicate where steps should be applied in the time series to account for earthquakes or changes in instrumentation, you may need to edit also globk_comb.cmd and an earthquake/rename file. These are described in Section 2.3. Then run, e.g.,

```
sh glred -expt scal -s 2000 34 2000 36 -opt H G E >&! sh glred.log
```

The H option tells sh_glred to translate GAMIT h-files in the day directory to GLOBK binary h-files and put them into /glbf; G indicates that *glred* is to be run (you can use sh_glred just to do the h-file translation if you wish); and E indicates that time-series plots are to be generated (invoking first *ensum* the program that extracts coordinates from the *glred* output org file).

2.2 Summary of program flow

To understand how to set up input controls and evaluate your solution, you need to have in mind the data and solution files produced at each step. The examples below are for data from five stations (BLYT JPLM LNCO MATH 7000) in the southern California survey (experiment name scal) from day 34 of 2000, used in gg/example.

<u>File</u>	Name example	Description
RINEX	blyt0340.00.o	RINEX observation file, input to makex
x-files	xblyt0.034	GAMIT ASCII observation files, similar to RINEX but the start/stop times and sampling interval are the same for all sites; output of <i>makex</i> , input to <i>model</i> .
c-files	cblyt0.034	GAMIT binary observation files, contain o-c's and partial derivatives as well as observations; output of $model$, input and output of $autcln$, input to $solve$. 6^{th} character increments a , b ,in successive iterations.
q-file	qscala.034	Full solution report from $solve$; 6^{th} character p indicates the preliminary solution, a the final solution.

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GAMIT h-file hscala.00034 Loosely constrained solution estimates and

covariances from solve.

GLOBK h-file h0001341200_scal.glx Binary h-file for GLOBK, created by *htoglb*.

org file globk_comb.org Solution report from glorg.

A full description of the preprocessing steps undertaken by *makexp* and *makex* to produce x-files and the coordinate, clock, and orbit files used by *model* can be found in Chapter 2 of the *GAMIT Reference Manual*; naming of c-files and a description of the q- and o-files produced by *solve* is in Chapter 3. Of the GAMIT-produced files, only the h-file has more than a one-digit year in its name since it is the only file that is carried beyond the processing for a single survey. The org file is described in Chapter 3 below.

It is also useful to have in mind the way the files containing external data, survey metadata, and command files get from their natural homes to the day directory for processing. "Global" files, which contain information useful for many surveys reside in gg/tables but are linked by *sh_gamit* into the experiment /tables directory (script sh_link_tables) and then into the day directory (script links.day), usually under the same name. These include:

EOP tables from the IERS: ut1. pole. Nutation tables (IERS/IGS standards) nutabl.

Lunar-solar ephemeredes luntab. soltab.

GPST - UTC leap.sec
IGS receiver/antenna codes rcvant.dat

User-defined receiver/antenna codes1 guess_rcvant.dat

Ground antenna mechanical dimensions hi.dat
Satellite block #s, PRN #s, masses svnav.dat
Ground/SV antenna phase center models antmod.dat
P1-C1, P1-P2 code biases dcb.dat
Ocean loading models otl.grid, otl.list
Atmospheric parameters map.grid, map.list

The particular version of each of these files is specified by the link in gg/tables or the experiment /tables directory. For example, the FES2004 ocean loading model is selected by linking otl.grid to otl.FES2004.grid in gg/tables. For EOP tables, the source (e.g., IERS Bulletin A, IERS Bulletin B) is specified in process.defaults or the *sh_gamit* command line and implemented via the link in the experiment tables directory. For nutation, lunar-solar ephemerides, and atmospheric grid files the link in the experiment tables directory is made to a gg/tables version for the appropriate year. All of these global tables need to be updated from time to time--the EOP daily or weekly if you are processing in near real-time; dcb.dat and map.grid monthly; nutabl., luntab., soltab., and leap.sec yearly; svnav.dat whenever a new satellite is launched; and the receiver/antenna tables whenever new instrumentation appears.

The command files (process.defaults, sites.defaults, sestbl, sittbl. autcln.cmd, and the GLOBK files) are usually specific to a particular survey or processing effort, so the master version of these is usually kept in the experiment tables directory. When sh_gamit runs it will link these files into each day directory. However, if a file by the same name already exists in the day directory, it will not be removed, so if you need to have a different setting for one day (e.g. a day-specific autcln.cmd file), you can create it within the day directory and it will be maintained through repeated processing of that day.

The third set of files are those that are day-specific. These include the data files shown at the beginning of this section, but also the orbit, clock, and coordinate files created during the processing. The primary orbital information for GAMIT is the (short, ascii) g-file (e.g., gigsf0.034), which contains for each satellite the values of position and velocity at a particular epoch (usually 12:00 on the day being processed) and coefficients of the 9component solar radiation-pressure model. These 16 parameters are sufficient for arc to generate a tabular ephemeris (binary t-file, e.g., tigsf0.034) for model to use in computing the theoretical value of the observations. In normal processing, the g-file is created by sh gamit (invoking sh sp3fit) in the /igs directory by fitting arc's model to an ascii tabular ephemeris (in the IGS sp3 format) from an external source. Sh gamit then copies the g-file to the /gfiles directory and creates a link from the day directory to the file in /gfiles. This complicated procedure is useful for two reasons: 1) GAMIT requires partial derivatives of the orbital position with respect to the initial parameters in order to estimate these parameters, and these are not available on the sp3 file; 2) the /igs and/or /gfiles directories can be used to create multiday orbits for processing pre-IGS data. The satellite clock file (e.g., jbrdc0.034) and receiver clock files (e.g., kblyt0.034, iscal0.034) all exist solely within the day directory. A description of each of these files may be found in Chapters 1 and 2 of the GAMIT Reference Manual. The master coordinate file for the survey is named Ifile. and kept in the experiment /tables directory. When sh gamit runs it makes a day-specific link, e.g. Iscalo.034 within each day directory, where updates are made by after each of the two solutions and given the names, e.g., Iscala.034 and Iscalb.034. We discuss in Section 2.4 the rules for updating ../tables/lfile.

2.3 Editing the control files

Program flow within sh_gamit and sh_glred is controlled by process.defaults, shown below with annotations added for each section:

```
## LOCAL DIRECTORIES
# Directory for translation of raw data (may have links to /rawfnd)
set rawpth = "/data13/simon/mitnet/raw"
# Directory path for raw archives (search all levels); e.g. /data18/simon
set rawfnd = ""
# Input files for RINEX translators
set mpth = "$procdir/mkrinex"
# RINEX files directory
set rpth = "$procdir/rinex"
# Directory path for RINEX archives (search all levels); e.g. /data18/simon
set rnxfnd = ""
# Directory for RINEX navigation files (Broadcast orbits)
```

```
set bpth = "$procdir/brdc"
# IGS files directory
set ipth = "$procdir/igs"
# Directory for g-files
set gpth = "$procdir/gfiles"
# GAMIT and GLOBK tables directory
set tpth = "$procdir/tables"
# Globk solution directory
set glbpth = "$procdir/gsoln"
# Globk binary h-file directory
set alfpth = "$procdir/glbf"
# Output directory for skyplots (converted from ps to gif to save space)
set gifpth = "$procdir/gifs"
# Place to store temporary control files
set cpth = "$procdir/control"
# Archive root directory (cannot be null even if not used)
set archivepth = "$procdir/archive"
```

This first section simply names the directories to be used for the processing. Most of the directories will be created with these names whether or not you include the entry and whether or not you actually use the directory. The only entry you are likely to change is glbpth, for which you may want to assign successively different directory names (e.g., gsoln, hsoln, tsoln) if you perform parallel sh_glred solutions using, for example, different combinations of data.

```
## GAMIT
# Set sampling interval, number of epochs, and start time for processing
set sint = '30'
set nepc = '2880'
set stime = '0 0
# Variables for updating station info tables (see sh upd stnfo)
set stinf unique = "-u"
set stinf nosort = "-nosort"
set stinf slthat = "2.00"
# Set "Y" to use RINEX header coordinates if not in Ifile or apr file
set use rxc = "N"
# 4-character code for broadcast orbits
set brdc = 'brdc'
# Set the ftp archives to be searched for RINEX files
set rinex ftpsites = ( sopac cddis unavco )
# Minimum x-file size to be processed (Def. 300 blocks)
set minxf = '300'
# Set search window for RINEX files which might contain data for day
set rx_doy_plus = 1
set rx dov minus = 1
# Default apr file for sh gamit
set aprf = itrf08.apr
# Set compress (copt), delete (dopt), an archive (aopt) options
set copt = (xkao)
set dopt = (c)
set aopt = ""
```

The session variables are set here by default but can be overridden with the -sessinfo option on the sh_gamit command line. They specify, respectively, the sampling interval, number of epochs (2880 is 24 hr at 30s intervals), and the start time (hr min). The next four entries allow you to control how station.info and the L-file are updated. They work with sites.defaults entries and are explained in the next section. The rinex_ftpsites option specifies what remote archives are to be searched for RINEX files; you may add archives

of your choosing by editing gg/tables/ftp_info. The minimum x-file size option allows you to exclude from GAMIT processing data from sessions too short to be useful for your application. The 300-block (300 Kb on most machines) default sets the limit at about 3 hours of tracking; change this to a small number to process short sessions. The search window options instruct the sh_gamit to look for RINEX files named with day-of-year different from the one being processed. This assures that you will not miss local data within a file named for a previous day (rx_doy_minus), and for midnight-crossing sessions, that you will ftp and include IGS data from the part of the session on the following day (rx_doy_plus). The rx_doy_minus option may need to be increased if you have RINEX files covering many days (GAMIT maximum is 7). Changing these to 0 will save a little time if you know that all of your data are within the expected 0-24h span. Both of these options can be specified in the sh_gamit command line if you want to change them on a day-to-day basis.

```
## RESOURCES
# Minimum raw disk space in Kbytes
set minraw = '30000'
# Minimum RINEX disk space in Kbytes
set minrinex = '30000'
# Minimum archive disk space in Kbytes
set minarchive = '20000'
# Minimum working disk space in Kbytes
set minwork = '200000'
```

The resource settings prevent processing from starting if there is inadequate disk space to complete it.

```
## OPERATING-SYSTEM-DEPENDENT SETTINGS
# UNIX df command to return free space in kilobytes
set udf = 'df -k'
# old HP
# set udf = 'bdf -k'
# UNIX mail command to allow subject in the command line
set umail = 'mail -s'
# old HP
# set umail = 'mailx -s'
# Mail address for the processing report (if null will default to 'whoami' in sh gamit)
# Host name for email and anonymous ftp password use (if null will default to `hostname` in sh gamit)
set machine = ' '
# Ghostscript path
set gspath = '/usr/bin'
# ImageMagick path for 'convert', used for gif conversion
 set impath = '/usr/bin'
# set impath = '/usr/bin/X11'
```

The only system-dependent setting likely to need changing is the path for the *convert* program, used to convert the sky plots from postscript to gif. If your UNIX installation does not have *convert* available, use –nogifs in the *sh_gamit* command line so that the sky plots will be left as postscript in the day directory

The site defaults file determines how each station will be used in the processing. Since all sites in your /rinex directory will be automatically included in your processing, in most cases you need to list here only those sites for which you want to automatically download

data from a remote archive (ftprnx token). This assumes that you do not want to include or exclude individual sites from automatic updating in station.info (xstinfo token) or to exclude from processing sites for which you have RINEX files in the /rinex directory (xsite token). Note that you must include after each site name the 4-character "experiment" name you use in your processing (this requirement derives from the feature to allow sites.defaults to select sites to be used in each of several sub-networks when you are processing multiple sub-networks).

```
# File to control the use of stations in the processing
# Format: site expt keyword1 keyword2 ....
# where the first token is the 4- or 8-character station name (GAMIT uses only 4 characters, GLOBK allows
# only 4 unless there are earthquakes or renames); the second token is the 4-character experiment name,
# and the remaining tokens, read free-format, indicate how the station is to be used in the processing. All
# stations for which there are RINEX files in the local directory will be used automatically in the GAMIT
# processing and do not need to be listed.
# ftprnx = sites to ftp from rinex data archives.
# ftpraw = sites to ftp from raw data archives.
# xstinfo = sites to exclude from automatic station.info updating.
# xsite = sites to exclude from processing, all days or specified days
 all sites scal xstinfo
 blyt gps scal ftprnx
 jplm gps scal ftprnx
 math_gps scal ftprnx
# ttth_gps scal xstinfo xsite:1999_256-1999_278
```

The xsite option can also be used on the *sh gamit* command line.

The processing within GAMIT itself (*arc*, *model*, *autcln*, *solve*) is controlled by sestbl., sittbl., and autcln.cmd. The sestbl. entries that you are likely to consider in setting up your processing are shown below:

```
Choice of Experiment = BASELINE. ; BASELINE/RELAX./ORBIT
Satellite Constraint = N ; Y/N ( N ignores the next two lines, giving constraints in ppm and %)
                                     rad1 rad2 rad3 rad4 rad5 rad 6 rad7 rad8. rad9
      a e i n w M
     0.01 0.01 0.01 0.01 0.01 0.01
                                      1
                                            1
                                                .1 .1 .1
                                                               .1
                                                                     .1 .1
Choice of Observable = LC_AUTCLN; LC_HELP/L1_ONLY/L2_ONLY/L1_RECEIVER/L1,L2_INDEP
Ambiguity resolution WL = 0.15 0.15 1000. 99. 15000. ; increase chi-sq ratio to stop search
Ambiguity resolution NL = 0.15 0.15 1000. 99. 15000. ; set dist = 500. for LC_HELP
Ionospheric Constraints = 0.0 mm + 8.00 ppm
                                                  ; set for mid-solar max -used only for LC HELP
DMap = GMF
                              ; GMF(default)/NMFH/VMF1
WMap = GMF
                              ; GMF(default/NMFW/VMF1
Map.grid = Y
                              ; YES/NO (default NO)
Met obs source = GPT 50
                              ; hierarchical list: RNX UFL GPT/STP [humid value]
Zenith Delay Estimation = YES ; YES/NO
Interval Zen = 2
                             ; zenith-delay parameters at 2-hr-intervals
Zenith Variation = 0.02 100.
                             ; zenith-delay variation, tau in meters/sqrt(hr), hrs
                              ; cutoff; default 0 to use autoln cutoff
Elevation cutoff = 0.
Atmospheric gradients = YES ; YES/NO (default no)
Number Grad = 1
                              ; number of gradient (E/W or N/S) parameters) (default 1)
                             ; gradient at 10 deg elevation in meters
Gradient Constraints = 0.01
Gradient Variation = 0.01 100.; grad.ient variation, tau in m/sgrt(hr), hrs (default .01 100)
Output met = N
                              ; write the a priori met values to a z-file
Update T/L files = L_ONLY
                              , L_ONLY (default), T_AND_L , T_ONLY, NONE
```

AUTCLN Command File = autcln.cmd ; filename; default none (use default options) Station Error = ELEVATION 10. 0.0001 : a**2 + b**2/sin(elev)**2 in mm, default = 4.3 7.0

Decimation Factor = 4 ; decimation factor in solve Quick-pre observable = LC_ONLY ; for 1st ('prefit) soln; default same as Choice of Observ Quick-pre decimation factor = 10 ; autcln pre, default same as Decimation Factor

Antenna Model = ELEV ; NONE/ELEV/AZEL default = NONE

Tides applied = 31 ; Binary: 1 earth (2 K1 for IERS92)) 4 pole 8 ocean loading 16 remove mean pole 32 atm (not coded); default = 31

Use otl.list = N ; Station-list file for ocean tidal loading (default N) Use otl.grid = Y ; Global grid file for ocean tidal loading (default N)

The values shown are appropriate for regional or global processing for most data acquired after 1995. By setting Choice of experiment to BASELINE, you are fixing the orbits and omitting the orbital parameters from your GAMIT processing and output h-files. If you intend to combine your h-files with those from global processing at, e.g., MIT or SOPAC, then you should set Choice of experiment = RELAX and apply constraints to the orbital parameters. The values given for orbital parameters (1 part in 10⁸ or about 2 cm) are reasonable for current IGS orbits (see http://acc.igs.org for orbital accuracy over time).

The next four entries control the observations used and ambiguity resolution. LC AUTCLN and LC HELP are the usual choices with dual-frequency receivers and all but the shortest baselines. With the LC AUTCLN option, the widelane ambiguities are assigned and resolved in *autcln* using the pseudoranges. With LC HELP, the wide-lane ambiguities are resolved by applying an ionospheric constraint (see *Dong et al.*, 1998). For data acquired with codeless receivers (before ~1994), LC_HELP should be used. The sensitivity parameters for both wide-lane (with LC HELP) and narrow-lane ambiguities are set conservatively but may be varied if you need to squeeze greater accuracy out of short observing sessions. See *Dong et al.* [1998] and Section 3.5 of the *GAMIT Reference* Manual for a detailed discussion. For baselines less than a few km, using L1 and L2 independently or only L1 may reduce noise compared to using the ionosphere-free combination. To check for the size of ionospheric errors, it's wise to try LC_HELP, L1 ONLY, L2 ONLY, and L1,L2 INDEP and compare the results. If your data were acquired with a single-frequency receiver, you should set L1 RECEIVER in the sestbl. and also add the L1 only command to autoln.cmd since autoln by default will attempt to use both L1 and L2 in cleaning (even if L1 ONLY is requested for *solve*).

The next block of entries controls the modeling and estimation of the atmospheric delay. The first command specifies the mapping function to be used to project the zenith delay to the elevation angle of the satellites. Different functions must be used for the hydrostatic ("dry") delay and the "wet" delay due to water vapor. The default, sufficient for all but the most accurate studies of heights and for meteorological studies, is the "global mapping function" (GMF) developed by Boehm et al. [2006a] from fitting numerical weather model (NWM) data over 20 years. A more accurate reconstruction of the NWM data can be obtained by interpolating hydrostatic and wet mapping function coefficients as a function of time and location from the (large) global grid files compiled by the Vienna group [Boehm et al., 2006b]. You have access to these values by downloading the VMF1 grid files for each year from pub/GRIDS on everest.mit.edu, and setting map.grid = Y, DMap = VMF1 and WMap = VMF1. The next command controls the source of pressure (most importantly, but also temperature) for the a priori zenith hydrostatic delay (ZHD). The most accurate values, if they are available, are from local measurements of surface pressure, which can be written into RINEX met files and stored in a /met directory for use by sh gamit. Setting the first option of Met obs source = RNX tells GAMIT to use these for any station for which they are available. The next most accurate source would be the ZHD values from the VMF1 grid files. Since GAMIT reads the grid file for all sites used for the day and writes the values into the U-file, you select this option by setting Met obs source = UFL and map.grid = Y. (If you use a VMF1 station-list file, map.list, the second option, GPT or UFL can be used for stations missing from the list file. Since the only source of NWM ZHD data currently tabulated for GAMIT is the VMF1 mapping-function grids, the met.grid and met.list files are not yet supported.) Finally, as with the mapping functions, the Vienna group has constructed from the NWM data an analytical model, designated "global pressure and temperature (GPT) [Boehm and Schuh, 2006], which is the GAMIT default. The option STP implies using standard constants (1013.25 hPa, 20 C), used prior to Release 10.3 but now effectively obsolete. To keep errors in height estimates below 2 mm, you need an accuracy of about 10 hPa in a priori pressure [Tregoning and Herring, 2006]. The Interval zen command controls the number of zenith delay parameters estimated using the session. For geodetic studies, estimating values at 2-hour intervals with the constraints given is more than adequate. For meteorological studies, you may want to estimate more parameters and/or alter the constraints (see Chapter 7 of the GAMIT Reference Manual).

The command to update the L-file assures that the second *solve* solution will have adjustments within a linear range and allows sh_gamit to apply updated coordinates to the processing of successive days. The criterion for updating is set by default to be adjustments larger than 30 cm (though this can be changed with a sestbl. command, not shown). If you know that all of your a priori coordinates are accurate to within a few cm and don't want bad data to corrupt the coordinates in the L-file, you can set Update T/L files = NONE.

The next three commands control how the phase data are weighted in the preliminary ("prefit") and final ("postfit") solutions. The Station Error entry shown tells *solve* to use elevation-dependent weighting for the phase date. In the preliminary solution, the assigned error is 10 mm with negligible elevation dependence. With AUTCLN postfit = Y (default with Type of analysis = 1-ITER) the second ("postfit") *solve* run will assign weights to the phase data based on the actual scatter computed by *autcln* in its "postfit" edit, and will contain both a constant and an elevation-dependent term, as recorded in the output print file autcln.post.sum. Elevation-dependent weighting is recommended for almost all analyses; an exception might be tests of models (e.g. mapping functions) sensitive to low-elevation observations.

Related to the error weighting is the sampling of data for the solution. For cleaning purposes (*model*, *autcln*), the full sampling of the x-file (usually 30s or 15s) is used. However, since the phase errors are correlated over many minutes, it is not necessary to use sampling this frequent in *solve*. The default decimation factor (4, resulting in 2-minute sampling for the usual case) provides formal uncertainties that are usually reasonable within a factor of two while reducing time significantly (See the discussion of

uncertainties in Section 1.3.) For the preliminary solution, using only every 10th sample is sufficient.

There are over a dozen sestal entries controlling the models used in processing the data. These are all given at the bottom of the template file in gg/tables and discussed in Section 3.2 of the GAMIT Reference Manual. The three shown here are those most likely to need editing for current applications. Changing Antenna Model to NONE allows bypassing of the phase-center-variation (PCV) file antmod.dat, an option advisable only if you are using identical antennas within a regional network. The Tides applied option is binary coded, allowing any combination of the various tidal models to be applied. applications you may keep the default, which is to apply all of the tidal effects currently available in GAMIT (though the 2-bit for K1 is not necessary with the current IERS 2003 model for the solid-body tides, and the pole tide can be applied later in GLOBK). If your data are not strongly affected by ocean tidal loading ("otl") or you do not wish to copy over the large ocean loading grid files, you might omit this model, setting Tides applied = 23 instead of 31. The otl components are read from a station.list file or a global grid, with station-list values taking precedent if Use otl.list = Y and the station is within 10 km of a station in the file. If you have local stations close to an IGS station, it is advisable to use the grid exclusively so that you don't introduce artifacts in the relative motions of two stations.

The sittbl. contains options that can be set on a station-by-station basis. The width of the table is variable, with the entries determined by the column headers present. A complete version is available as gg/tables/sittbl.long, but the short version shown below contains the only entries you are likely to change—the a priori station constraints to be applied in GAMIT to enhance ambiguity resolution and to obtain a solution that you can inspect visually (this assumes that your final solution will come from GLOBK).

The 4-character site name must appear in the first four columns, the 8-character name is of arbitrary length beneath a blank header, and the coordinate constraints are free-format but must fall within the columns denoted by the header --coord.constr.--. The constraints are north, east, and up in meters.

The remaining control file for GAMIT is autcln.cmd. It is complicated but can almost always be left unchanged. Cases for which you might want alter the default settings include data from codeless receivers, stations for which you cannot get a priori coordinates better than 10 m, or short sessions (< ~3 hours). For a detailed discussion of the *autcln* settings, see Section 4.2 of the *GAMIT Reference Manual*.

There are two required and one optional control files for sh_glred . (Unlike the GAMIT sestbl. And sittbl., which for which entries must begin in column 1, all GLOBK files, as well as the sh_gamit control files process.defaults and site.defaults, follow the convention

that a non-blank first column denotes a comment.) For sh_glred, the file globk_comb.cmd provides the input for *glred*:

```
* This group must be first
   eq file ../tables/eq renames
   make svs ../tables/sat1.apr
   com_file comb.com
   srt file comb.srt
   sol file comb.sol
```

- * File(s) for a priori coordinates apr_file ../tables/itrf00.apr
- * Sites to include in the solution (default is all) use site clear use_site mate ankr_gps kit3 nico sofi zwen kant use site -zwen
- * (1) Max chi**2, (2) Max prefit diff, (3) Max rotation; defaults are 100 10000 10000 max chi 30 50 2000.0
- * Apply the pole tide whenever not applied in GAMIT app ptid ALL
- * Invoke glorg for stabilization org cmd glorg comb.cmd org opt PSUM GDLF CMDS
- * Set minimal globk print options since using glorg output prt_opt NOPR
- * Allow the network to be loose since using glorg for stabilization apr neu all 10 10 10 0 0 0
- * Satellites are loose if using global data -- ignored if GAMIT BASELINE mode
- x apr svs all 100 100 100 10 10 10 1R
- * but tight if GAMIT RELAX mode and not combining with global data
- x apr_svs all .05 .05 .05 .005 .005 .005 .01 .01 FR

```
* EOP loose if estimating rotation in glorg
   apr ut1 100 10
   apr wob 100 100 10 10
* but tight if estimating only translation in glorg
```

- x apr ut1.25 .1
- x apr wob .25 .25 .1 .1
- x Earth-rotation values (not needed if pmu free in final combination)
- x in pmu ../tables/pmu.bull b
- * Write out an h-file if needed for future combinations x out alb H----.GLX

The first group of commands define files used by glred/globk and which must be listed first in the command file in order for the program to work properly. For time-series computations, all but the eq file can be considered scratch files. The eq file, described below, allows you to exclude sites or to rename them to account for breaks due to earthquakes or instrument changes.

The next two entries specify the a priori files to be used for Earth orientation and coordinates. If these are omitted, the values will be taken from the h-file (i.e., the GAMIT solution), which may be ok in many circumstances.

The use_site command allows you to select the sites to include in the solution. We've shown a redundant set of commands to illustrate their use: the default is all; the command use_site clear removes all sites and should be followed by a list of sites you wish to include; listing the site preceded by a minus will remove it from the list. For site selection from an h-file of global processing, you can invoke the use_pos to include or exclude sites within a geographical region (See the *globk* help file or Section 3.1 of the *GLOBK Reference Manual*.)

The max_chi command allows automatic removal of an h-file when the data are bad, detected in *globk* by checking for a high chi-square, a large adjustment of coordinates from their a priori values, or a large rotation of the network. For a network less than 10 km in extent, it may be necessary to loosen these values since the rotation will not be well determined.

Including the name of the glorg command file ($org_cmd glorg_comb.cmd$) tells globk to call glorg for frame definition ("stabilization") after globk is run. The org_opt command prescribes what is included in the glorg print summary ("org" file). PSUM means a summary of position adjustments; GDLF means to list the name of the input h-file; and CMDS means to echo both the globk and glorg command files. If you are invoking glorg, then the globk print will not be very useful and is suppressed by prt_opt NOPR.

Commands of the form apr_ serve both to tell *globk* to estimate the parameter and to specify the a priori constraint to be used. If you are stabilizing by estimating both translation and rotation in *glorg* (the usual case with a robust network), then both the coordinate (apr_neu) and EOP (apr_ut1 apr_wob) constraints should be loose, as shown above. If you estimate only translation in *glorg*, preferable for less than four stations or a network less than 1000 km in extent, then you should tightly constrain EOP (and orbits). To apply finite constraints, set the apr_neu values as appropriate for each station and do not invoke *glorg* (comment out the org cmd line).

The out_glb command, when invoked, tells *globk* to write the solution out in the form of an h-file. This is useful for aggregating data in weekly or monthly averages and/or combining your own processing with global or regional h-files from an external source (see Chapter 4).

The *glorg* command file (glorg comb.cmd) has the form:

- * Stabilization using IGS stations apr_file ../tables/itrf00.apr
- * Regional stabilization using coordinates from a prior solution

- x apr_file ../tables/globk_vel_990306.apr
- * Define the stabilization frame stab_site clear stab_site mate kit3 nico sofi zwen
- * Set parameters to estimate in stabilization pos org xtran ytran ztran xrot yrot zrot
- * Default height ratio is 10; increase if heights questionable cnd hgtv 1000 1000 2.0 10.0
- * Default iterations is 4, relative weight ratio 50%, horizontal sigma cuoff 4.0 x stab ite 4 0.8 3.

Frame definition (stabilization or spatial filtering) is accomplished in *glorg* by specifying a set of sites for which the a priori coordinates are known at the level you expect the frame to be defined (usually 1-2 mm horizontal, 2-5 mm vertical) and the parameters you want to estimate in order to minimize the coordinate adjustments for these sites. If an apr file is included in the *glorg* command file, its coordinates will supersede those used in GAMIT or *globk*, so it is not necessary to use the same a priori coordinates for all three programs. For *glorg*, the only coordinates that matter are those for the stabilization sites. The pos_org command specifies the parameters to be estimated, either translation only (omit the last three entries) or translation and rotation. The rules for stab_site are the same as those for use_site in the *globk* command file. See Section 4.4 for a more comprehensive discussion of reference frame definition.

The last two entries in the file control the weighting of the quasi-observations (site coordinates) in the stabilization. For cnd_hgtv the first two values specify (for position and velocity, respectively) the downweighting of heights relative to horizontal coordinates. The default is 10, under the assumption that the uncertainties in heights are about three times higher than for the horizontal coordinates; however, you can increase this ratio if want to define only a horizontal frame or you know that the height estimates are unreliable. The last two values set limits on the uncertainty in height allowed to have a site included and serve to remove from the stabilization poorly determined sites. For stab_ite the first value is the number of iterations used in the stabilization, the second the amount of reweighting that can take place between iterations, and the third the ratio of residual to uncertainty (sigma) allowed before a site is removed from the stabilization. You can change the last value to fine-tune the inclusion or exclusion of sites from the frame definition. These commands are discussed in more detail in the *glorg* help file and Section 3.2 of the *GLOBK Reference Manual*.

If you need to provide for step changes in coordinates (or velocities) and/or to exclude sites during certain periods, you can use an "earthquake-rename" file (eq_file) with globk. The file has two types of entries. The first renames sites (creates new logical sites for globk) based on their proximity to an earthquake:

```
# November 3, 2002, UT=22:12 Lat = 63.52 Lon -147.53 Depth=5 km M 7.9 eq def DN 63.52 -147.53 700 5. 2002 11 3 22 12
```

With the lines above included in the eq_file, all sites within 700 km of the 2002 Denali (Alaska) earthquake will be renamed ($_gps \rightarrow _gdn$) for h-files with start and stop times later than 22:12 on 3 November 2002. The eq_cosei and eq_post commands prescribe the constraints applied to the co-seismic and post-seismic changes. (See the globk help file and Section 3.1 of the GLOBK Reference Manual.) The second type of command renames sites according to explicit instructions to account for changes in data quality or instrumentation:

The first of these lines accounts for a position change with the introduction of a new receiver at Matera; the second removes some bad data at Kitab for a four-day period; the third accounts for the use of an incorrect antenna height in h-files whose names include the substring "emed". You may use the equate feature of *glorg* to force the horizontal adjustments for madr_gps and madr_xhi to be the same (see Chapter 4). It is also possible to use the rename command to shift the position of a site without introducing a new site, useful to apply offsets known from local measurements or assumed from an earthquake model:

```
rename tigr gni tigr gni 2001 2 28 0 0 2100 1 1 0 0 0.0032 0.0033 0.0000 NEU
```

The entry applies 3 mm offsets of the north and east coordinates of the site based on an a priori model for the Nisqually (NI) earthquake near Seattle, Washington.

2.4 Using sh gamit and sh glred

Now that we've described the program flow and control files, we'll take you through a simple application of sh_gamit and sh_glred using the small network in southern California provided in gg/example. The command for sh_gamit, issued at the /example (experiment directory) level is

```
sh_gamit -expt scal -d 2000 034 035 036 -noftp -copt x k p -dopt c ao >&! sh_gamit.log
```

In this example, we start with all of the data to be processed already present in the /rinex directory. If you wanted to add additional data available from a global or regional archive, you would specify the site names in sites.defaults, enter the ftp information (if not already present) into gg/tables/ftp_info, and remove the -noftp option from the call to sh_gamit . As a result of the command shown, sh_gamit will execute for each day the following steps, noted in the screen output redirected to $sh_gamit.log$:

- 1) Assign parameters for program flow, giving precedence first to the command-line arguments, then to the parameters set in process.defaults and sites.defaults, and then to default assignments within sh_gamit itself. In this case, the command-line entry -noftp overrides the default to search archives for requested or updated observation, navigation, and EOP files; and the command-line entries for which files to compress or delete at the end of the run override those set in process.defaults.
- 2) Create the day-directory and/or standard directories which do not yet exist (all of these are already present in the gg/example directory).
- 3) Link into the day directory (/034) the standard tables (see script links.day) and the RINEX files that contain data for the specified interval (00:00-24:00 as set in process.defaults).
- 4) Download orbital sp3 files from a global data center and create GAMIT g-files using script *sh_get_orbits*.
- 5) Run *sh_upd_stnfo*, which invokes program *mstinf* to update station.info from the RINEX headers. (It is recommended that this step be skipped by setting xstinfo in sites.defaults.)
- 6) Run *makexp* to create the input files for *makex* (scal.makex.batch) and *fixdry* (dscal0.034).
- 7) Run sh_check_sess to make sure that all of the satellites included in the RINEX observation files are present in the navigation file (/brdc/brdc03400n, previously downloaded at MIT from an IGS archive) and in the g-file (created previously at MIT from an IGS sp3 file).
- 8) Run sh_makej to create a j-file of satellite clock estimates from the navigation file.
- 9) Run *makex* to create x-files (observations) and k-files (receiver clock estimates) using phase and pseudorange data from the RINEX observation files, broadcast ephemeris from the navigation file, and satellite clocks from the j-file. A record of *makex*, showing the data found and any problematic data encountered is written to scal.makex.infor.
- 10) Run *fixdrv* to create the batch file for GAMIT processing. Though not used directly, *fixdrv* also reads the k-file of episodic clock values and fits a first-order polynomial to them as a crude check for jumps and rapid drifts in the receiver clock (fixdrv.out).
- 11) Execute the batch run to generate a tabular orbital ephemeris (arc), model the phase observations (model), edit the data (autcln), and estimate parameters (solve), a sequence completed twice in order that autcln may operate on flat residuals and that the final adjustments in solve are well within a linear range. A record of this run is not written to sh_gamit.log (to save space) but is recorded in GAMIT.status, GAMIT.warning, and GAMIT.fatal in the day directory.
- 12) Save the cleaning summary (autcln.post.sum) to autcln.post.sum.scal (potentially for archiving, though that's not done for this example) and write key information from *model* and *solve* to the HISTORY file, which, unlike all other files in the day directory, is appended rather than overwritten in reruns so that a record of previous runs is maintained.

- 13) Create sky plots of phase residuals and plots of phase vs elevation angle using the DPH files written by *autcln*; if the ImageMagic program *convert* program is available, translate the plots from postscript to gif and move them into /gifs.
- 14) Invoke *sh_cleanup* to delete or compress files as specified by -dopt and -copt.

The most common problems with *sh_gamit* are missing or incorrect receiver and antenna information ("metadata") in station.info and the loss of data due to bad tracking or bad coordinates.

There are two approaches you can take to providing metadata to GAMIT. If you have RINEX files for which you know the headers are correct, you can have sh_gamit invoke sh upd stnfo to update station info for each day using the header entries (no update will be made if the station.info entry is present and matches the RINEX header). If the antenna height information is correct but the receiver and antenna names not IGS standard, you can still use this feature if you put into /gg/tables/guess revant.dat a substring that will uniquely match what appears in the header. If you know that all of your receivers and/or antennas are of the same type, you can force their use by specifying them as ant default and rcv default in guess rcvant.dat. If you expect sh_gamit to generate station.info entries from the RINEX header information, you should review the antenna and receiver names used in all of the headers before you start (e.g., by grep'ing on the RINEX files for 'REC #' and 'ANT Antenna heights can also be problematic. In the RINEX standard, the header value is supposed to be a vertical height to antenna reference point (ARP), but often a slant height is actually given in the file. If the latter is the case, then stinf slthgt in process.defaults can be set to a height above which the value will be assumed to a slant height to the outside edge of the ground plane. (Setting stinf slthgt to 0 or a large number will cause all values to be interpreted as direct height measurements.)

If you have *any* doubts about the validity of the RINEX headers, it is better to create (and check!) station.info before you start the GAMIT processing. You can create a file with all of the entries for your survey by running *sh_upd_stnfo* manually then edit the file as appropriate. In creating this file, it is best to start with a template from the current SOPAC station.info file (available in /incremental_updates/tables) so that you can conveniently add entries for continuous stations from the SOPAC file. This template can be header-only, but the preferred approach is to start with the full SOPAC station.info file and use the -I [sitelist] option of *sh_upd_stnfo* to extract only the stations you need, either from an existing list or one created automatically by *sh_upd_stnfo* from the sites with ftprnx in sites.defaults and/or the file names in your experiment /rinex directory. Hence, if you have a current copy of the SOPAC station.info in gg/tables, have run run *sh_setup* to copy it into your experiment /tables directory, and have edited sites.defaults to specify the RINEX files you want from a remote archive (using ftprnx), you can run (in /tables)

sh_upd_stnfo -ref station.info -l sd

which will produce station.info.new with the SOPAC entries for only the ftprnx sites. (If are the sites you need from the SOPAC station.info file are not in sites.defaults with ftprnx, but are present in the /rinex directory, you can use –I sdrnx or –I rnx in the sh_upd_stnfo command.) Then make sure the RINEX files in the experiment /rinex directory are not compressed, rename station.info.new to station.info and run (in /tables)

sh upd stnfo -files ../rinex/*o

Sh_upd_stnfo also allows entries for station.info to be created from IGS log files or SINEX files. If you construct station.info in advance of your processing, set xstinfo all in sites.defaults to block any attempt by *sh_gamit* to update the file from RINEX headers.

Bad a priori coordinates will result in *autcln*'s detecting too many cycle slips and deleting all of the data. A clear indication that this has happened is Range rms values greater than about 20 m at the top of the autcln prefit sum file together with 0 for DATA AMOUNTS in the same file. When this happens, you should check [expt]/tables/lfile. for the source of the coordinates used. If a priori coordinates for a station are not available in the L-file (or apr file) from previous processing, sh_gamit will by default invoke the sh_rx2apr script to perform a pseudorange solution. Coordinates good to 10-20 m can usually be obtained from the data at the station of interest (better if SA is off), but the preferred approach is to perform the solution differentially, using also a RINEX file from an IGS station with known coordinates. To make sure this happens, you should specify ftprnx in sites.default and have present in the /rinex directory or available via ftp from an IGS data archive the RINEX files for each day from one or more IGS stations. (You can use this setting to get a differential pseudorange solution even if you have -nottp specified for sh_gamit provided you copy the IGS data into the /rinex directory in advance of the run.) If a differential pseudorange solution was used and you still have bad coordinates, try executing sh_rx2apr manually with data from each of several days and compare the coordinates to see if the day you used originally was an anomaly because it was short or had bad pseudorange data. To by-pass sh rx2apr and use the coordinates from the RINEX header, set use rxc = Y in process.defaults. This option should be used only if you know that the header values are always present and accurate. Note that a large adjustment of coordinates, due to bad data or a short session, on one day can cause problems with the next day since Ifile. in the experiment /tables directory will be updated. You can avoid this update by copying into the aprf file specified in process defaults any site coordinates that you know to be good; the L-file will be initialized with these values as processing begins for each day.

Sh_gamit can also fail if it is unable to ftp required global RINEX files or orbital information from an IGS archive (SOPAC or CDDIS if not otherwise specified). The GAMIT.fatal message will usually make clear what file is missing. In this case, check the ftp connection manually and restart the processing.

When you rerun a day after a previous failure, you need to exercise some care to avoid repeating the failure. The easiest solution is to remove the day directory completely and to remove any bad entries in the L-file and station.info in the /tables directory. If you do not remove the day directory, then note the following protocols: (a) Unless you specify remakex Y in the command line, any existing x-file in a directory will be used again and the script assumes that there is a valid station.info entry for this file (if not, the process will fail). (b) Any existing RINEX file linked in the day directory will be assumed to exist. If the link is now empty because you have renamed or removed the file in the remote directory, this may not be detected correctly on all systems. (c) A previously added

station.info entry will be used (and not replaced) if it applies to the day being processed. (d) Coordinates in the L-file will be used if they exist (so if the entry has been corrupted it should be removed and/or correct coordinates put in to the apr-file).

Descriptions of how to run *sh_gamit* with sessions crossing day boundaries can be found in Section 6. 3 of the GAMIT Reference Manual.

To generate time series from the GAMIT runs for the three days in the example, type at the /example (experiment-directory) level

```
sh\_glred -expt scal -s 2000 034 2000 036 -opt H G E >&! sh\_glred.log
```

The script will execute the following steps, noted in the screen output redirected to sh glred.log:

- 1) Search all day directories between /034 and /036 for GAMIT h-files containing the substring scal and run *htoglb* to convert these to binary h-files for *glred*. Since each GAMIT h-file contains two solutions, one with ambiguities estimated as real numbers ("biases free") and one with ambiguities resolved ("biases fixed"), *htoglb* will create two binary h-files, with extents glr (GAMIT loose free) and glx (GAMIT loose fixed), respectively. They are stored in the /glbf directory and named with the year/month/day/hr/min, e.g h0002031200_scal.glx for day 034.
- 2) Generate in the /gsoln directory a gdl file (h-file list) for each day (glx is default).
- 3) Run glred for each day, using commands of the form

```
glred 6 globk_scat_00034.prt globk_scal_00034.log globk_scal_00034.gdl globk_comb.cmd
```

The .prt and .gdl files are removed at the end of the run; the .log and .org files provide a record of the output.

4) Run *sh_plotcrd* to extract the coordinates of each site on each day from the .org files and invoke GMT to plot the time series.

This task could be accomplished relatively easily by running *htoglb* directly with wild cards specifying the day directories, creating a gdl file in /gsoln using 'ls ../glbf/h*glx', running *glred* with this gdl file, and running sh_ploterd. In fact, for velocity solutions and time-series using combined files, you will need to use this approach (see Chapter 4). The advantages of the script are threefold: 1) it's simpler for beginning users, 2) it allows easy daily combination of local h-files with those from an external processing center (e.g. MIT or SOPAC), using the LA, LB and LC options (see Section 4.1 below); and 3) it allows easy aggregation of days into weekly or monthly combined h-files, using the -ncomb option (see sh_glred help by typing the command without arguments). You can mix using sh_glred with running *glred* directly as long as you keep in mind two differences: 1) sh_glred is executed from the experiment directory (with the *globk* processing directory, usually /gsoln specified by glbpth in process.defaults) whereas *glred* is executed from within the *globk* [/gsoln] directory itself; and 2) sh_glred creates a gdl-file for each day whereas running *glred* itself efficiently requires creating a single gdl-file containing the h-files for

all of they days. In a gdl-file, the + symbol is used to indicate to *glred* that h-files are to be combined before performing the solution. For example, using the file

```
h9609061159_igs1.glx 1.0 +
h9609061159_igs2.glx 1.0 +
h9609061159_emed96.glx 1.0
h9609071159_igs1.glr 1.0 +
h9609071159_igs2.glr 1.0 +
h9609071159_emed96.glx 1.0
```

glred would combine all three h-files for day 960906 before performing a solution, and then do the same thing for the three files for day 960907 (Running globk, rather than glred, would combine all of the files listed into a single solution whether or not the +'s are present).

Other options of sh_glred specfy the ftp'ing of data from a remote archive (F), removal of old h-files from the gdlf directory (R), and compressing the h-files at the end of the run (C). Type sh_glred without arguments to all options.

To avoid overwriting useful h-files or using obsolete ones, it is important to keep in mind the precedence rules of the script. For local data (sh_gamit day directories), specifying the H option will force *htoglb* to be rerun for all directories within the time span indicated, whether or not a binary file exists in the searched directories (nominally glbf and glbf/bin_yyyy). Omitting H will cause no new binary files to be created, so it is not possible to retranslate only a selected group of ascii H-files. This is not an important limitation, however, because *htoglb* runs quickly. For remote data (locally linked or ftp'd from SOPAC), setting H will also force *htoglb* to be rerun on any ascii H-files present or linked (by LA) in the H-file (glbf) directory, but you can safely set F since the script will not re-ftp any remote (ascii) H-files that are present.

Note that most of the shell scripts called by sh_gamit and sh_glred can be run stand-alone for specific processing tasks. The most useful of these are sh_make_rinex (templates for running teqc to translate raw data files), sh_get_nav , sh_get_rinex , sh_get_orbits , sh_sp3fit , sh_update_eop , sh_link_rinex , sh_oneway (to get sky plots from DPH files), and sh_get_hfiles . Type the name of the script without arguments to see the documentation.

3. Evaluating the Results of Daily Processing

There are three primary criteria you should use to determine if your phase processing produced a good result:

- All of the expected data were included.
- The data fit the model at the expected level.
- The uncertainties are acceptably small.

In most cases you can assess these three requirements quickly using the GAMIT summary emailed to you by sh_gamit (and saved as sh_gamit_ddd.summary in the day directory) together with the plots of daily repeatabilities created by sh_glred. Following is the summary file from day 034 of the southern California example:

```
Input options -expt scal -d 2000 034 -netext a -orbit IGSF -noftp -remakex Y
Processing 2000 034 GPS week 1047 4 Raw 0
/chandler/data30/rwk/active/example/034a
Disk Usage: 37537 Free 31764.5 Mbyte. Used 55%
Number of stations used 5 Total xfiles 5
Postfit RMS rms, total and by satellite
RMS IT Site All 01 02 03 04 05 06 07 08 09 10 11 13 14 15 16 17 18 19 21 22 23 24 25 26 27 29 30 31
RMS 26 ALL 4.4 46 35 56 42 38 42 42 38 51 44 61 41 0 42 44 40 40 42 36 52 40 40 38 49 38 37 45 55
Best and Worst two sites:
RMS 26 7001 5.2 6 4 7 5 4 5 4 5 6 6 6 5 0 5 6 5 5 5 4 7 4 4 4 6 4 4 5 5
RMS 26 BLYT 5.5 6 4 7 5 5 5 6 5 6 5 7 5 0 6 6 5 5 5 4 6 5 5 4 6 4 5 5 7
Double difference statistics
Prefit nrms: 0.25241E+01 Postfit nrms: 0.20241E+00
Prefit nrms: 0.25309E+01 Postfit nrms: 0.18607E+00
Prefit nrms: 0.25241E+01 Postfit nrms: 0.18889E+00
Number of double differences: 15098
Phase ambiguities (Total WL-fixed NL-fixed: 100 96 88
Phase ambiguities WL fixed 96.0% NL fixed 88.0%
```

Check first that all of the data you expected got into the processing. The "Total xfiles" should equal the number of raw or RINEX files available. If the "Number of stations used" is less than the total x-files, then some x-files were created but then discarded because they were too small (tracking session too short) as measured against the minxf value you set in process.defaults, or because you have excluded them with the xsite option in sites.defaults or the sh_gamit command line.

There are three indications of data quality and they each measure slightly different things. The block of lines beginning with "RMS" are all extracted from autoln.post.sum and show the one-way root-mean-square residuals by satellite and station. In the RMS second line, the first value is an overall rms in mm. The remaining values on that line break down the scatter by satellite and report the value in tenths of mm to allow better discernment of differences that might indicate a bad orbital model for one satellite. The last four lines report the values for the two sites with the lowest (JPLM and MATH in the example) and the highest (7001 and BLYT) scatter. In a typical survey, the best sites will have values of 3-5 mm, and the worst 7-9 mm. Values between 10 and 15 mm indicate high but acceptable levels of noise, but if you reweight the data using the *autcln* (default) the uncertainties in position estimates for these sites will be 2-3 times higher than for the better sites. RMS values greater than 15 mm suggest a poorly tracking receiver, a high multipath environment, severe weather, or a problem with convergence in *autcln*, usually caused by poor coordinates or a short span of data. For sites with high scatter, you should look at the sky plots and phase versus elevation plots in the /gifs directory in order

to understand the source of the noise. If both of the "worst" sites have high rms, you should look at auton.post.sum to check other sites, which might also have high values but did not make the "top two" in the summary.

If either or both of the "best" two sites have 0 RMS, then all of the data from these sites were removed by *autcln*. The most common reason was that *autcln* inserted too many "bias" flags (detection of a possible cycle slip), either because of poor a priori coordinates or poor receiver performance. The tables in autcln.post.sum allow you to determine the reason. If it is bad a priori coordinates, the pseudorange rms at the top of the file will make this immediately clear:

```
AUTCLN SUMMARY FILE: Version 3.22I
Clock and Range noise statistics at iteration 3
         Allan SD@100 # Range rms
Site/PRN
          sec (ppb)
                            (mm)
         1.552388 716
0.448878 2876
                             772.9 5353 ASH
7001
                               329.3 21905 ASH
BLYT
         0.138763 2879
                              1101.2 19487 TRB
                             1026.4 19982 TRM
LNCO
        38.975374 2877
       100.000000 2876
MATH
                              482.8 18834 TRM
```

Maximum values of 1-2 m, as in the example, are typical; if the value is greater than 5 m, a bad site coordinate is likely the problem. You can corroborate your assessment using the table reporting what *autcln* did with bias flags:

```
BIAS FLAG REPORT: Types
ORG-Original JMP-Big Jump ION-Ion Jump GAP-Data Gap DDS-DD scan WLS-Wide Lane DDC-DD cleaning
SITE  # Flagged  | # Remaining  | # Edited
                                                                                      ORG JMP ION GAP DDS WLS DDC| ORG JMP ION GAP DDS WLS DDC| ORG JMP ION GAP DDS WLS DDC|
7001 \quad 0 \quad 0 \quad 17 \quad 21 \quad 1 \quad 0 \quad 0 | \quad 0 \quad 0 \quad 12 \quad 9 \quad 1 \quad 0 \quad 0 | \quad 0 \quad 0 \quad 5 \quad 12 \quad 0 \quad 0 \quad 0 |
BLYT 0 0 3 27 3 0 0| 0 0 0 6 1 0 0| 0 0 3 16 2 0
                                                                                    0 |
JPLM
      0 0 32 266 16 0 0 0 0 22 10 0 0
                                                       0 | 0
                                                                0 10 222 11 0
                                                                                     0 1
                                                           0
      0 1 26 61 2 0 0 0 0 0 0 0 0 15 87 0 0 0 0 0
                                     8 9 0 0
14 10 0 0
LNCO
                                                        0 |
                                                                 1 18 28
                                                                                  0
                                                                                      0.1
MATH
                                                        0 |
                                                            0
                                                                 0
                                                                     1
                                                                        12
                                                                             0
```

The block labeled # Flagged reports how many bias flags were initially added scan for each station and the reason why-either gap or jump in the data. For example, the DDS column indicates the number added during the scanning of the double-difference residuals. If this number is high, *autcln* detected many discontinuities, which can be due to noisy phase data but would also result if there is a large slope in the residuals due to poor coordinates or orbits. The # Remaining block reports how many flags remained after *autcln* has finished its editing. You would like all stations to look like BLYT, but this is rarely the case. The # Edited block reports the number of bias flags removed by deleting the data affected by the bias flag (as opposed to removing the flag by reliably resolving the integer number of cycles between data segments). Confirmation of *autcln*'s action is given in the editing report:

BLYT	10.0 10.0	0	0	0	0	0	104	239	26	0	2	0	0	1091	3512	0	0	0	20547
JPLM	10.0 10.0	0	0	0	0	0	9	59	677	0	26	0	0	677	8	0	0	0	18055
LNCO	10.0 10.0	0	0	0	0	0	0	274	404	0	5	0	0	430	2076	0	0	0	19148
MATH	10.0 10.0	0	0	0	0	0	0	14	44	0	8	0	0	0	0	0	0	0	18768

Note whether each site has the expected number of "good" data (the original sampling interval for 7001 in this example was 120s, compared with 30s for the other receivers, so the number of data is what we would expect). If a site shows many data deleted, the column headers tell you the reason; see the *autcln* help file and Section 4.2 of the *GAMIT Reference Manual* for an explanation of each header.

The last part of the sh_gamit summary file is extracted from the solve q-file. The first four lines list, respectively, the normalized rms (square root of χ^2 per degree of freedom) for the constrained solution with ambiguities free, constrained solution with ambiguities resolved, loose solution with ambiguities free, and loose solution with ambiguities resolved. With the current weighting of phase observations, all of these should be ~ 0.2 . If the constrained nrms values are significantly larger than the loose nrms, the data are good but the constraints you have imposed in the GAMIT solution, either on coordinates (sittbl.) or orbits (sestbl.), are too tight. If this is the case, try constraining only the most reliable site and look at the adjustments of the others in the q-file. The last line in the summary gives the percentage of wide-lane (WL) and narrow-lane (NL) ambiguities resolved. (Note: This form of the summary is for LC AUTCLN; with LC HELP there are only two numbers, the first being the total number of ambiguities esimated, WL + NL, corresponding to twice the first number in the LC AUTCLN summary, and the second being the number of NL ambiguities resolved.) With LC AUTCLN more than 90% of the WL ambiguities should normally be resolved for any size network unless you have short sessions, noisy pseudoranges, and/or you are not using a dcb.dat table that includes estimated values for the epoch of your data. The percentage of NL ambiguities resolved depends on the session length, size and configuration of the network, quality of the orbits and a priori coordinates, and atmospheric conditions. If it is less than ~80\%, there may be deficiencies you can address with a different analysis approach.

The definitive check of your data and processing is provided by the time-series generated by sh_glred as psbase files in /gsoln. With 24-hr sessions and a robust stabilization, you should obtain uncertainties and repeatabilities at the level of 1-2 mm for horizontal coordinates and 3-5 mm for heights. With 8-hr sessions, the horizontal should be 2-4 mm and height 10-15 mm. If the uncertainties are high for some sites but not others, check the session length (RINEX or x-file), number of data deleted (editing summary of autcln.post.sum), and data noise (RMS table and "Elevation angle dependent RMS statistics of autcln.post.sum and/or "A priori receiver measurement error model" in the q-file). If the uncertainties for all sites are high, then check the record of the *glred* and *glorg* run, e.g. globk_scal_00034.org:

```
For Position: Min dH sigma 0.0050 m; Min RMS 0.0030 m, Min dNE sigma 0.00050 m

For Velocity: Min dH sigma 0.0050 m/yr; Min RMS 0.0030 m/yr, Min dNE sigma 0.00010 m/yr

Sigma Ratio to allow use: Position 2.00 Velocity 2.00

Starting Position stabilization iteration 1 L0002031200_scal.glx

For 5 sites in origin, min/max hgt sigma 451.3 452.8 mm; Median 452.2 mm, Tol 10.0 mm

Position system stabilization results

X Translation (m) 0.24048 + 0.00264 Iter 1 L0002031200_scal.glx

Y Translation (m) 0.29123 + 0.00398 Iter 1 L0002031200_scal.glx

Z Translation (m) -0.25412 + 0.00330 Iter 1 L0002031200_scal.glx

Condition Sigmas used 0.0000 0.0000

Sites and relative sigmas used in stabilization

BLYT_GPS 1.00 7001_GPS 1.00 MATH_GPS 1.00 JPLM_GPS 1.00 LNCO_GPS 1.00

For 15 Position Iter 1 Pre RMS 0.0908 m; Post RMS 0.00362 m L0002031200_scal.glx

For 5 sites in origin, min/max NE sigma 1.29 1.96 mm; Median 1.43 mm, Tol 1.00 mm
```

The top part of the file records the sites included in the stabilization.. As *glorg* iterates the stabilization, it will remove sites that have large height uncertainties or horizontal and/or height residuals compared with the uncertainties. In the final iteration, there should be at least three (and preferable many more) sites left in the stabilization, and the 'Post RMS' should be at level you expect for the uncertainties (1-5 mm). The next part of the file (not shown) echoes the *globk* and *glorg* command files (provided CMDS was set in org_opt); make sure these match what you intended. The next useful section is the position summary, which shows the adjustments and uncertainties of each of the sites in north, east, and up:

SUMMARY PO	OSITION EST	IMATES FROM	GLOBK Ver	5.11I					
Long.	Lat.	dE adj.	dN adj.	dE +-	dN +-	RHO	dH adj.	dH +-	SITE
(deg)	(deg)	(mm)	(mm)	(mm)	(mm)		(mm)	(mm)	
245.285	33.610	-3.78	2.72	1.59	1.37 -	-0.062	37.86	6.07	BLYT_GPS*
243.531	34.560	0.12	-0.37	1.02	0.98	0.039	-9.53	4.71	7001_GPS*
242.563	33.857	1.33	-0.64	0.86	0.83	0.012	-1.86	4.04	MATH_GPS*
241.827	34.205	0.50	-0.51	0.85	0.80	0.031	-4.66	3.87	JPLM_GPS*
240.942	36.360	-0.27	0.42	1.43	1.37 -	-0.103	-8.82	5.64	LNCO_GPS*
POS STATIST	TICS: For	5 RefSites	WRMS ENU	1.36	1.01 14.	.27 mm	NRMS ENU	1.30	1.02 3.07

The starred sites are those used in the stabilization (all in this case since the apr-file is based on a previous solution); if there is sufficient redundancy, the individual adjustments will be useful in determining if the quality (rms) of the stabilization is degraded by the inclusion of particular sites. The stabilization statistics at the end of the position summary are shown for each component (north east up) rather than a combination of the three as given in the output at the top of the file. A more detailed discussion of *globk* and *glorg* output can be found in Section 3.5 of the *GLOBK Reference Manual*.

4. Generating Time Series and Velocity Fields

When input to GLOBK as quasi-observations, h-files can be considered either individually (glred) to generate time series or as a group (globk) to estimate positions and velocities. In either case the most important decisions facing the analyst are how to edit

and weight the data and how to define and realize the reference frame. In making these decisions, you need to consider what stations to include explicitly, how to treat the orbits and earth orientation parameters (EOP), and practical constraints on computation speed and data storage. In this chapter we discuss strategies you might use to achieve your analysis objectives with the tools available in the GLOBK suite of programs. The computation of multi-year solutions may be carried out conveniently in a directory (e.g. /vsoln) parallel to the directories used for each survey or year of continuous data. At this same level, you may want to create an additional /tables directory containing files common to all the experiments and the velocity solutions. If you carry out a series of multi-year analyses, then command files in additional processing directories (/asoln, /bsoln, ..) can use the same path (../tables) to these common files.

4.1 Combining h-files

There are several reasons why you may want to combine the h-files from your daily GAMIT processing with other h-files before generating a times series or velocity solution. If you have more than ~50 sites in a regional network, it is more efficient and just as accurate to process these in GAMIT networks of 30-50 sites and then combine them in GLOBK than to use a single large network (the GAMIT limit is 99 sites). Further, if you are processing a regional network and want to tie it rigorously to a larger regional or global reference frame, you can do so by combining your h-file(s) with those generated by MIT or SOPAC from their IGS processing or SOPAC's processing of regional continuous networks. Finally, to obtain more useful long-term statistics from your time series, to strengthen the reference frame for survey-mode observations, or to reduce the computational time for velocity solutions, you may wish to first combine the h-files from 5-30 days into a single h-file to be used in subsequent solutions. We discuss the pros and cons of these strategies in Sections 4.3 and 4.4, but their mechanical implementation is straightforward.

sh_glred allows you to automatically download and/or link in h-files from an external source. If the F option is specified, h-files implied by the -net option will be downloaded from MIT or SOPAC into the primary h-file directory (specified by glfpath in process.defaults and nominally /glbf). Alternatively, you can collect the h-files in advance in the directory specified by hfnd in process.defaults and then set the LA, LB, and/or LC options to link these files into /glbf. LA refers to ascii h-files (requiring htoglb translation) of the form h[net][expt]?.yyddd (e.g. SOPAC network files), LB to binary h-files of the form hyyddmm?????_[net][expt].gl? (with glx given precedence over glr), and LC to binary h-files of the form Hyyddmmdd_[net][expt].GLX (e.g., MIT combined h-files). For example, to use local processing in combination with MIT global h-files previously downloaded onto your system, the command to generate a 30-day time series for experiment 'emed' would be

sh_glred -expt emed -s 2009 121 2009 150 -net MIT -opt H LC G E

And to use the SOPAC h-files for the global network plus Europe,

sh_glred -expt emed -s 2009 121 2009 150 -net igsall eura -opt H LA G E

To save an output h-file from a *globk*, *glred*, or *sh_glred* run with multiple input h-files, add to your daily *globk* command file (globk_comb.cmd) a line naming the output h-file:

```
out_glb H-----CCC.GLX
```

where CCC is an (optional) 3- (or more) letter code identifying your project, and the six dashes will be replaced automatically by *globk* with the starting date of the data in the h-file (YYMMDD). We typically use an uppercase extent (GLX) to distinguish combined from daily h-files, but this is arbitrary. If your aggregation is evenly spaced, you can generate the combined files with the –ncomb option of *sh_glred*. If (as for example with field surveys) your start times and/or aggregation spans are variable, you should run *glred* directly after constructing a gdl file that reflects your aggregation scheme, e.g.

```
# 103-106
../pnw04/hlbf/h0404121200 pcnw.glx +
../pnw04/hlbf/H040412_MIT.GLX
../pnw04/hlbf/h0404131200 pcnw.glx +
../pnw04/hlbf/H040413 MIT.GLX
../pnw04/hlbf/h0404141200 pcnw.glx +
../pnw04/hlbf/H040414_MIT.GLX
../pnw04/hlbf/h0404151200_pcnw.glx +
../pnw04/hlbf/H040415 MIT.GLX
# 175-181
../pnw04/hlbf/h0406231200 pcnw.glx +
../pnw04/hlbf/H040623_MIT.GLX
../pnw04/hlbf/h0406241200 pcnw.glx +
../pnw04/hlbf/H040624_MIT.GLX
../pnw04/hlbf/h040629\frac{1}{2}00 pcnw.qlx +
../pnw04/hlbf/H040629 MIT.GLX
```

This file (absent the comments and + sign) can be easily generated with the 'ls' command, redirecting the output to a file. Recall that the + at the end of the line tells *glred* to include the h-file in the same solution as the previous h-files, so you terminate each group by omitting the +.

If you are combining data over a span that is long enough that the error in the a priori velocity of any of your sites is large enough to cause an error in position, you should estimate velocities in the combination; however, it's generally better to make the span of the combination short enough that this is not an issue.

4.2 Data editing

Your solutions will be corrupted if you include data that are statistical outliers. For a time series from independent h-files, an error in one component of one site's coordinates will not affect any other site (and may have minimal effect on the other components), but as soon as you combine h-files with common parameters, whether multiple networks on a single day or the results from more than one day, the different estimates of the common parameter will clash, raising chi-square and distorting the solution. There are two ways you can remove outliers: using the rename command of the eq_file and using the sig_neu command directly in the *globk* command file. In the rename command, if you designate

the extent as _XCL the site will always be excluded; with _XPS the site will be excluded from any solution of more than one day but will still appear in daily time series; e.g.

```
rename MIT algo_gps algo_xcl 1997 5 14 0 0 1997 5 17 24 0
```

which will remove from the solution the observations of ALGO in any h-files containing the characters "MIT" between 14 and 17 May 1997. Note that the date span specified must completely encompass the start time of the first h-file and the end time of the last h-file. The h-file selector entry and/or the dates may be omitted for a more expansive exclusion. With the sig_neu command you can effectively remove the effect of an error in a particular component by adding sufficient noise that it has negligible weight, e.g.

```
sig neu MIT algo gps 0 0 .5 1997 5 14 0 0 1997 5 18 0 0
```

would reduce the effect of an error in height by adding 0.25 m² in quadrature to the variance of the height component as estimated from the h-files specified.

Which of these approaches you choose is a matter of taste and could depend on the tools you use for editing. The *tsfit* program (see Chapter 4 of the *GLOBK Reference Manual*) and the MATLAB-based interactive program *tsview* (http://www-gpsg.mit.edu/~tah/GGMatlab) will generate _XPS rename commands with the click of a mouse, which is quite convenient for editing large amounts of continuous data. Down-weighting with sig_neu may be more convenient for survey-mode data when you often want to down-weight heights but not horizontal components. The GAMIT utility *grw* can be used to generate sig_neu commands with only a few key-strokes.

4.3 Weighting the data for realistic uncertainties

As noted in Section 1.2, the dominant errors in GPS observations are not random, but rather have correlation times ranging from a few minutes (multipath, water vapor) to days or months (station motions due to monument instability and atmospheric, hydrological, and ocean loading; non-gravitational forces on the satellites). Ignoring these correlations will result in uncertainties that are too optimistic, mildly so for observations of a few days, more strongly so for continuous data. In this section we assume that you understand the theoretical and empirical basis for various approaches, and we describe how to implement them in GLOBK.

Studies of long-term GPS coordinate time series have shown that the noise over periods of a few days is nearly white but increases steadily for longer periods. With continuous data we can analyze the time series and, based on the character of the noise over periods of weeks to years, infer the noise at the long periods relevant to estimating the error in site velocities or long-term decay following an earthquake. A Kalman filter such as *globk* cannot realize all possible error models (in particular a "flicker noise" model that best fits most GPS time series), but it can realize the two "end-member" models—white noise and a random walk—that together allow us to achieve meaningful short-term statistics for data editing and realistic uncertainties for estimated velocities.

The χ^{ϵ} (chi-square) increments (chii) computed by *globk* when h-files are combined reflect the inconsistency in the coordinate estimates from the current solution and the estimates from the new h-file and can usually be seen in the time series. If the data from all sites are properly weighted for their short-term scatter, the nrms of the time series and the chi-square increments should be ~1.0. Chi-square increments less than one will occur when there is little redundancy or if you have down-weighted the data to allow for more realistic velocity estimates. Values greater than one will occur if the sigmas on the h-files are too small or there are uncompensated outliers among the coordinate estimates. These must be found using the time series and corrected as described in Section 4.2.

We have noted earlier that the weighting of the phase data in GAMIT is such that the coordinate uncertainties in daily h-files are mildly pessimistic compared to the daily scatter, so you should expect chi-square values of 0.3 to 1.0 when you stack these files in globk. If you combine many days together, however, the h-file uncertainties will get smaller, by the square root of the number of days combined, and the chi-square values increase linearly with the number of days. You can compensate for this by adding white noise to the coordinate estimates using the sig_neu command. The values to be added can be determined from the short-term scatter in the time series. Adding white noise for a uniform network of continuous stations is, strictly speaking, not necessary since the true uncertainty in velocity estimates will be dominated by the long-period noise added using mar_neu (random-walk) command, but it can be useful in editing by establishing a more realistic ratio between the uncertainties within an h-file that has a combination of continuous and survey-mode data. For example, if some position estimates in the h-file are based on thirty 24-hr sessions and others on two 24-hr sessions, the position uncertainties on the h-file for the 30-day sites will be a factor of four smaller than the 2day sites even though their true uncertainties are nearly the same because of the dominance of correlated noise. To take an example, suppose the formal uncertainty and scatter in horizontal coordinates for all sites from a 24-hr session is 1.0 mm. In the combined h-file, the uncertainty for the 30-day site will be 0.2 mm and for the 2-day site 0.7 mm. If we add quadratically 0.75 mm of white noise to the horizontal coordinates of all sites on the h-files (sig_neu all .00075 .00075 0), then the uncertainties used in the solution will become 0.8 mm for the 30-day sites and 1.0 mm for the 2-day site, more closely reflecting their scatter (and hence contribution to χ^2). You may also want to add white noise for individual stations that show a higher level of short-term scatter than is reflected in their uncertainties---that is a higher nrms in the daily time series. Use of the sig neu command for reweighting is preferred over the variance factor used with h-files in the gdl list since an elevated noise level is usually associated with only a subset of sites in the h-file. An exception would be when you have h-files generated from GAMIT solutions using different sampling times, in which case the variance factor is useful to balance the weight with other h-files in your solution.

The primary way we account for the long-period noise that most influences velocity estimates is by adding a random walk to the error model used by globk. For sites with ~ 100 or more position estimates, the appropriate value of the random walk for each component can be determined using the "realistic sigma" algorithm described by *Herring*

[2003] and in the 'Error Analysis' presentations from the workshops (see the Documentation on the GAMIT/GLOBK web site). The algorithm uses the scatter in the time series for all possible averaging times (e.g. 1 day to 50 days for a 100-day time series) to determine how the time series statistics depart from white noise. If the noise is white, the rms should decrease as square root of the averaging time, and the nrms stay the same. In practice, however for GPS time series, the rms decreases much more slowly with averaging time, and nrms increases (since the formal uncertainty decreases). By fitting nrms (actually χ ·) versus averaging time to an exponential function (expected for a first-order Gauss-Markov process) and evaluating this function for infinite averaging time, the algorithm determines both the scale factor to be applied to the white-noise velocity sigma to get a more realistic sigma and the value of the random walk that will produce this sigma in the *globk* solution. To get the mar_neu commands, run

```
sh_gen_stats -ir va[root].ens
```

where [root] is an identifier of your choosing and the input file has the name va[root].ens, obtained by renaming the VAL file from running $sh_ploterd$ (or ensum or enfit directly). You can also invoke the realistic sigma algorithm from within tsview. For sites with fewer than ~100 estimates, the values you use for the random walk will necessarily be less precise, so you might use, for example, the median value from sh_gen_stats for the network or an "eyeball" estimate of long-period systematics in the time series.

4.4 Realizing a reference frame

Although the GPS satellites provide a natural dynamic frame for ground-based geodesy, the doubly differenced phase observations (or equivalently, undifferenced phase with clocks estimated or provided) do not tie a ground station to the orbital constellation at the millimeter level we require for scientific studies. Rather, we define and realize a precise terrestrial frame by applying constraints to one or more sites in our network. GLOBK allows two approaches. The simplest, used also in GAMIT, is to apply in the *globk* command file tight a priori uncertainties on the coordinates (positions and velocities) of one or more sites, e.g.

```
apr_neu all 1 1 1 .1 .1 .1 .1 apr_neu algo .001 .001 .003 .001 .001 .003 apr neu drao .002 .002 .002 .003 .003 .010
```

This "finite constraints" approach is rarely used in GLOBK, however, because it can distort the network if the assigned constraints are not correct for the a priori coordinates and data in your solution.

A more rigorous approach to frame realization is through "generalized constraints", in which you minimize the adjustments of coordinates of the frame-defining sites while estimating translation, rotation, and scale (Helmut parameters). With this approach, all of the reference sites are free to adjust (hence revealing bad data or coordinates) and any

frame realized with a particular set of reference sites will differ from a frame realized with another set only in translation, rotation, and scale, with no internal distortion. You enact this approach by keeping the constraint in *globk* free

```
apr_neu all 1 1 1 .1 .1 .1

# EOP loose if estimating rotation in glorg
apr_wob 10 10 10 10
apr_ut1 10 10
mar_wob 3650 3650 365 365
mar_ut1 365 365

# EOP tight if estimating only translation in glorg
x apr_wob .25 .25 .1 .1
x apr_ut1 .25 .1
```

and invoking *glorg to* apply generalized constraints ("stabilization" in GLOBK jargon)

```
pos_org xtran ytran ztran xrot yrot zrot
rate_org xtran ytran ztran xrot yrot zrot
# translation-only
x pos_org xtran ytran ztran
x rate_org xtran ytran ztran
```

Estimating both translation and rotation in *glorg* is necessary for global and large regional networks and preferred for any network over ~50 km in extent. (The scale parameter can usually be omitted—but see the discussion in Dong et al. [1998].) However, it is robust only if you have at least six stabilization sites with a good geometric distribution. If there is only one site at the outer edge of the network (i.e. with a long "lever arm"), all of its error will go into the combination of rotation parameters in its direction. When this happens, both the uncertainty and residual of that site will be very small, there will be insufficient redundancy to provide stability to the network, and the stabilization statistics will be less meaningful. When you estimate translation only, you can get by with fewer stabilization sites and the geometry is much less important.

The simplest and most robust approach to frame realization, for all but the smallest networks, is to incorporate into your solution 10 or more sites whose coordinates are included with small uncertainties in the apr-file from the most recent International Terrestrial Reference Frame (ITRF). You can do this either by including data from all of the chosen reference sites in your GAMIT processing, run in BASELINE mode with IGS orbits fixed, or by including in your GAMIT processing 3-4 IGS sites (not necessarily reference sites) that will tie your h-file to an h-file generated by MIT or SOPAC from global processing. In this latter case, you include orbits in your GAMIT run (RELAX mode) and allow the global h-file to determine the orbit. To do this properly, you must include h-files representing all of the global network (HYYDDD_MIT.GLX or all SOPAC higs?yyddhh.glx), though you need to include explicitly in globk (use_site) only the sites you intend to use in glorg for stabilization. The orbit-fixed approach requires more processing time in GAMIT and constrains you to defining your frame with the ITRF sites

you have included in the processing, but it gives you the freedom to chose models that might be inconsistent with what MIT or SOPAC have used in their processing. Estimating orbits with the global data gives you ready access to a hundred or more sites for stabilization and reduces GAMIT run time but forces you to use something close to the MIT or SOPAC models and requires downloading and storing large h-files (15-20 Mb per day for recent years). The script *sh_get_hfiles*, invoked directly or via *sh_glred* facilitates downloading the files. (Note that you can use BASELINE processing in GAMIT and combine your h-files with regional h-files from SOPAC provided you use the same a priori orbits-used in the SOPAC processing, currently IGS Rapid [igsr in *sh gamit*].)

If you have a small network with only one or two (or perhaps no) sites with good a priori coordinates, you may be able to realize an adequate reference frame by fixing or tightly constraining one site. This works because the uncertainties and errors in "absolute" coordinates (relative to the satellites) map into uncertainties and errors in relative coordinates (your primary interest) roughly reduced by a factor of the satellite altitude (20,000 km) to the baseline length. So, for example., a 1-m uncertainty (or error) in the coordinates of a constrained site induces an uncertainty (or error) of only \sim 1 mm over a 20-km baseline. (The actual reduction depends on session length and geometry, but for short baselines you have considerable latitude in setting the a priori constraint.) Although you can apply the constraints with the apr_neu command in globk, as noted above, you may want to invoke glorg for other reasons and prefer to apply the constraint using the force or constrain commands:

```
constrain algo npos 0. .001 constrain algo epos 0. .001 constrain algo upos 0. .003 constrain algo ndot 0. .001 constrain algo edot 0. .001 constrain algo udot 0. .003
```

When generating time series and velocity solutions used to evaluate the quality of the data and your analysis approach, the theoretical definition of the reference frame is not important. It is usually best to carry out these steps in the no-net-rotation (NNR) frame of the ITRF (and strictly speaking, you should use this frame if you are not estimating orbits since it is consistent with the EOPs). Eventually, however, you will want to view the velocities in a frame that is natural for geophysical interpretation, and that is usually with respect to a stable tectonic block near your network. If you want to use the realization of a major plate as determined from the ITRF data set (e.g. Altamimi et al. [2008]), then it is easy to do so simply by substituting in glorg (not necessarily in globk) one of the rotated ITRF apr files provided in gg/tables (e.g. itrf05_eura.apr). The quality of the stabilization should be identical to one carried out with itrf05.apr (NNR) since the relative motions of the sites has not been changed. If you want to realize a plate or smaller rigid block from your own solution, then you can do so using the plate command of glorg, e.g.

plate noam algo nlib pie1 rcm5 brmu chur

With this command present, *glorg* will estimate the rotation vector (Euler pole) for a block (spherical cap) containing these sites with respect to the stabilization frame. The org-file print will contain the components and uncertainties of the rotation vector and will replace the velocity adjustments from the stabilization (i.e., with respect to the apr-file) with residuals with respect to the plate prescribed to include that site (the velocity estimates themselves remain in the frame of the apr-file). You can estimate any number of plates in a single solution so long as they are nearly independent and no one of them contains almost all of the sites used for stabilization (this will create a numerical instability). Running the script *sh_org2vel* with the *glorg* print file as input and the plate(s) specified with the names used in the plate command will produce velocity summary file(s) with the motions of all sites in the solution with respect to each of the plates.

4.5 Generating time series and velocity solutions

To generate time series or estimate velocities from several years of data, you need to run *glred* or *globk* directly rather than using *sh_glred*. As with creating irregular combined h-files, you can create the gdl file using the 'ls' command from the directory you intend to use for the multi-year analysis. For example, for a multiyear analysis in pnw/vsoln using combined h-files from individual years,

```
Is ../pnw??/gsoln/*GLX > pnw_all.gdl
```

Your first step should always be to generate time series so that you can evaluate the statistics of your data and determine if there are any outliers that will distort the velocity solution. To run *glred* directly to produce a time series from this file, use, e.g.

```
glred 6 glred.prt glred..log pnw_all.gdl globk_comb.cmd >&! glred_rep.out sh plotcrd -f globk comb.org -s long
```

The solutions for all h-files, obtained independently, are written into a single print file (specified by prt_opt for finite constraints using *globk*, org_opt for generalized constraints using *glorg*).

The first check of the solution for each epoch is the quality of the stabilization. You can get a summary of the statistics by grep'ing on 'POS STAT' in the print file. The NRMS values for the stabilization should be near unity in all three components, and the WRMS 1-2 mm in horizontal and 3-10 mm in vertical (potentially higher if vertical was downweighted more than the default factor of 10). Check to make sure that no epoch has too few sites in the stabilization. If you find any problems, look at the solution itself for that epoch, noting which sites were removed from the stabilization and/or have large adjustments in the position summary. Next look at the histograms of nrms and wrms generated by $sh_ploterd$ to see if the distribution of scatters among your sites is approximately Gaussian with the median nrms ~ 1.0 . Finally, unless you have a network

of hundreds of sites (in which case you are probably too experienced to need this chapter), you should view the time series for every site to be included in your solution, whether or not it is of direct scientific interest. Look for outliers at individual epochs and sites with unusually high nrms (> 1.5-2.0, depending on how you have weighted the data). If a large number of sites have outliers at a single epoch, review the GAMIT processing and the stabilization. Once you have identified any problematic position estimates and created rename or sig_neu commands to remove them, you should repeat the time series to verify that your entries accomplished what you intended.

Once you are satisfied with your time series, you can run *globk* with the same gdl-file to obtain a velocity estimate:

```
globk 6 globk.prt globk.log.pnw all.gdl globk vel.cmd >&! glred vel.out
```

where globk_vel.cmd is nearly the same as globk_comb.cmd but has velocities estimated and summarized

```
apr_neu all 10 10 10 1 1 1 org opt PSUM VSUM GDLF CMDS
```

and glorg_vel.cmd, invoked from within globk_vel.cmd includes stabilization for velocity as well as position:

* Set parameters to estimate in stabilization pos_org xtran ytran ztran xrot yrot zrot rate_org xtran ytran ztran xrot yrot zrot

The org-file output will contain stabilization information and a summary table for velocities as well as positions. Also, the experiment list will now contain all of the files used and the computed chi-square increments as each successive h-file is added to the solution:

EXPERIMENT LIST from vel.srt

```
# Name
           SCALE Diag PPM Forw Chi2 Back Chi2 Status
1 H950820 PNW.GLX 1.000 0.000 0.115 -1.000 USED
2 H970607 PNW.GLX 1.000 0.000 0.220 -1.000 USED
4 H980813 PNW.GLX
                1.000 0.000
                           0.234 -1.000 USED
5 H990516 PNW.GLX 1.000 0.000
                           0.184 -1.000 USED
6 H010719 PNW.GLX
                1.000 0.000
                           0.295 -1.000 USED
7 H030820_PNW.GLX
                1.000 0.000
                           0.194 -1.000 USED
```

With a weighting of the coordinates estimates such that the uncertainties from each (combined) h-file are ~1 mm horizontal and ~5 mm vertical, the chi-square increments are typically less than 0.5 for regional data alone and 0.4 to 1.0 for global data. If an h-file is based on a poor GAMIT solution or there is a model incompatibility with previous h-files, the chi-square increment will be anomalous. When this occurs, you will need to return to the time series to see which sites show outliers that would create an incompatibility. A common example is a wrong antenna height for a station, which will

show up as a height anomaly in the time series. How much chi-square is increased by a problem at one site will depend both on the size of the error and how many sites are in the solution. Very high chi-square values can result if you have two sites in the solution with the same name but at different locations. To guard against this problem, you should run *glist* before you start the processing (see Section 5.1 of the *GLOBK Reference Manual*).

```
To plot the velocities, use sh plotvel –f globk vel.org -R244.5/251/40.5/46.5
```

were [file] is the prt, org, or vel file from which the SUMMARY VELOCITY is to be plotted, and the second command-line entry gives the longitude and latitude range for the plot using standard GMT commands. See the documentation at the top of the script (or type sh_plotvel -help) for additional options for displaying site names, scaling the uncertainties, setting the confidence level for error ellipses, and adding topography, fault maps, and other geographic features. The scripts sh_map_calif , sh_map_china , and $sh_map_elements$ in gg/com may be helpful as templates.

For large networks, particularly those including continuous stations with discontinuities and non-linear motion due to earthquakes, the interactive Matlab-based programs *tsview* and *velview* can be powerful tools. Their use and installation ar documented on the GAMIT/GLOBK webpage (http://www-gpsg.mit.edu/~tah/GGMatlab). As a further aid to handling large data sets, we have recently developed programs that allow "prototyping" of GLOBK solutions by manipulating time series to identify discontinuities and non-linear effects quickly (see Chapter 4 of the *GLOBK Reference Manual*).

It will often be the case that the set of stations you use for stabilization of the time series for editing purposes define a reference frame that is inherently weaker in your region of interest than the final velocity solution--either because the editing frame encompasses a larger region and hence is more affected by spatially correlated errors, or because the number of well-known stations available for stabilization of individual h-files is much less than the total number of stations in the solution. The time series that best represents your final velocity solution is one that is stabilized with <u>all</u> of the stations in the solution. Thus, after you obtain this solution, you should rerun *glred* with an enlarged stab_site list and an apr-file generated from the velocity solution. To get the apr-file, use *sh_exglk*, and to generate a stab_site list to include all sites with position and velocity uncertainties below a specified level program *org2stab*.

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